

MATTEL INC /DE/
Form 8-K
June 03, 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

Current Report Pursuant to Section 13 or 15(d) of
The Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported):

May 29, 2009

MATTEL, INC.

Delaware
(State or other jurisdiction

of incorporation)

001-05647
(Commission File. No.)

95-1567322
(I.R.S. Employer

Identification No.)

333 Continental Boulevard, El Segundo, California

90245-5012

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(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code

(310) 252-2000

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-2 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 8 Other Events

Item 8.01. Other Events.

On May 29, 2009, pursuant to the Mattel, Inc. (Mattel or the Company) Guidelines Concerning Rule 10b5-1(c) Individual Trading Plans (the Guidelines), the following executives of Mattel each entered into separate individual Rule 10b5-1(c) trading plans (individually, a Plan , and collectively, the Plans) with a broker to sell shares of Mattel stock to be acquired upon exercise of employee stock options (the Options). The Options have a ten year term; some were granted in 1999, others in 2000, and all are nearing expiration. According to Mattel 's Guidelines, the first sales under the Plans may not take place until June 29, 2009, 30 days after the date each executive adopted his or her plan:

| Name of Executive | Title of Executive | Number of Shares in Plan | Option Expiration Date | Date of Adoption of Plan | Plan Termination Date |
|-------------------|---|--------------------------|------------------------|--------------------------|-----------------------|
| Ellen Brothers | | 26,000 | 11/04/09 | 5/29/09 | 3/30/2010 |
| | Executive Vice President, Mattel, Inc. and President, American Girl | 62,500 | 3/30/2010 | | |
| Robert A. Eckert | Chairman of the Board and Chief Executive Officer | 2,000,000 | 5/16/2010 | 5/29/09 | 5/14/2010 |
| Kevin M. Farr | Chief Financial Officer | 175,000 | 3/30/2010 | 5/29/09 | 3/30/2010 |
| Neil B. Friedman | President, Mattel Brands | 150,000 | 3/30/2010 | 5/29/09 | 3/30/2010 |
| Alan Kaye | Senior Vice President, Human Resources | 75,000 | 3/30/2010 | 5/29/09 | 3/30/2010 |
| Robert Normile | Senior Vice President, General Counsel and Secretary | 25,000 | 2/1/2010 | 5/29/09 | 3/30/2010 |
| | | 75,000 | 3/30/2010 | | |
| Bryan G. Stockton | President, International | 125,000 | 11/7/2010 | 5/29/09 | 1/29/2010 |

The transactions under each Plan will be disclosed publicly through Form 144 and Form 4 filings with the Securities and Exchange Commission. Each Plan was adopted in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended, and with Mattel 's Guidelines. Rule 10b5-1 permits individuals who are not in possession of material, non-public information at the time the plan is adopted to establish pre-arranged plans to buy or sell company stock. Using these plans, individuals can gradually diversify their investment portfolios over an extended period of time.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MATTEL, INC.
Registrant

By: /s/ Robert Normile
Robert Normile
Senior Vice President, General Counsel and
Secretary

Dated: June 3, 2009