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PFSWEB INC Form 8-K November 16, 2011

## SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

## FORM 8-K

### **CURRENT REPORT**

PURSUANT TO SECTION 13 OR 15(D) OF THE

**SECURITIES EXCHANGE ACT OF 1934** 

DATE OF REPORT (DATE OF EARLIEST EVENT REPORTED): November 10, 2011

PFSweb, Inc.

(Exact name of registrant as specified in its charter)

Delaware (STATE OR OTHER JURISDICTION

000-28275 (COMMISSION 75-2837058 (IRS EMPLOYER

 $OF\ INCORPORATION)$ 

FILE NUMBER)
500 NORTH CENTRAL EXPRESSWAY

**IDENTIFICATION NO.)** 

**PLANO, TX 75074** 

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(ADDRESS OF PRINCIPAL EXECUTIVE OFFICES)

(972) 881-2900

### (REGISTRANT S TELEPHONE NUMBER, INCLUDING AREA CODE )

N/A

### (FORMER NAME OR ADDRESS, IF CHANGED SINCE LAST REPORT)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### INFORMATION TO BE INCLUDED IN THE REPORT

#### ITEM 8.01. Other Events

On November 10, 2011, PFSweb, Inc. hosted a conference call announcing its financial results for the quarter ended September 30, 2011. Attached to this current report on Form 8-K is a copy of the related conference call transcript dated November 10, 2011. The information in this Report on Form 8-K, and the exhibit hereto, shall not be deemed filed for purposes of Section 18 of the Exchange Act or otherwise subject to the liability of that Section.

Exhibit No. Description

99.1 Conference Call Transcript Issued November 10, 2011

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PFSweb, Inc.

Dated: November 16, 2011 By: /s/ Thomas J. Madden

Thomas J. Madden **Executive Vice President,** Chief Financial and **Accounting Officer** 

n="center"> (2) Common Stock 1,789.7632 1,789.7632 D Interest in Employer Stock Fund (Supplemental

Plan) (3) (2) (2) (2) Common Stock 254.9049 254.9049 D

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Bartone Michael A. 1000 STANLEY DRIVE NEW BRITAIN, CT 06053

V.P. Corporate Tax

**Signatures** 

/s/ Kathryn P. Sherer, Attorney-in-Fact

03/07/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares held for the reporting person under the Company's 401(k) Savings Plan as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported.
- (2) Exempt
- Represents shares held for the reporting person under the Company's Supplemental Plan as of the last day of the calendar month that (3) ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last
- reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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