

PRUDENTIAL PLC
Form 6-K
March 30, 2012

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER

**Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934**

For the month of March, 2012

PRUDENTIAL PUBLIC LIMITED COMPANY

(Translation of registrant's name into English)

LAURENCE POUNTNEY HILL,

LONDON, EC4R 0HH, ENGLAND

(Address of principal executive offices)

Edgar Filing: PRUDENTIAL PLC - Form 6-K

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F ☒ Form 40-F ☐

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes ☐ No ☒

If ☒ Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: March 30, 2012

PRUDENTIAL PUBLIC LIMITED COMPANY

By: /s/ Clive Burns
Clive Burns
Head of Group Secretariat

NOTIFICATION OF TRANSACTIONS OF DIRECTORS / PERSONS DISCHARGING MANAGERIAL RESPONSIBILITY OR CONNECTED PERSONS

1. Name of the *issuer*

Prudential plc

2. State whether the notification relates to (i) a transaction notified in accordance with *DTR* 3.1.2R; (ii) a disclosure made in accordance with LR 9.8.6R(1); or (iii) a disclosure made in accordance with section 793 of the Companies Act (2006)

(i)

3. Name of *person discharging managerial responsibilities/director*

M Coltman, R Devey, J Foley, P Goerke, M McLintock, N Nicandrou, B Stowe, T Thiam, M Wells

4. State whether notification relates to a *person* connected with a *person discharging managerial responsibilities/director* named in 3 and identify the *connected person*

Relates to persons named in 3 above

5. Indicate whether the notification is in respect of a holding of the *person* referred to in 3 or 4 above or in respect of a non-beneficial interest

Relates to persons named in 3 above

6. Description of *shares* (including *class*), debentures or derivatives or financial instruments relating to *shares*

Ordinary Shares of 5p each

American Depositary Receipts (ADRs) - representing a ratio 1 ADR equals 2 Prudential plc

Ordinary Shares of 5p each

7. Name of registered shareholder(s) and, if more than one, the number of *shares* held by each of them

BWCI Trust Company Limited

8. State the nature of the transaction

Transaction 1

Grant of Deferred awards of shares for 2011 under the Annual Incentive Plan for M Coltman, R Devey, J Foley, P Goerke, M McLintock, N Nicandrou, T Thiam

Grant of Deferred awards of ADRs for 2011 under the Annual Incentive Plan for B Stowe and M Wells

Transaction 2

Release of on appointment awards

R Devey and N Nicandrou - ordinary shares being released as per the terms of the award letters dated 27 November 2009

Disposal of shares to fund tax liability

Transaction 3

Release of conditional award and dividend equivalents given under the Prudential Group Performance Share Plan for M McLintock, N Nicandrou and T Thiam

Acquisition of shares

Disposal of shares to fund tax liability

Transaction 4

Release of conditional awards under the Prudential Group Performance Share Plan and the Prudential Business Unit Performance Plan for R Devey

Acquisition of shares

Disposal of shares to fund tax liability

9. Number of *shares*, debentures or financial instruments relating to *shares* acquired
M Coltman

Transaction 1 - 23,353 Ordinary Shares

R Devey

Transaction 1 - 41,136 Ordinary Shares

Transaction 2 - 50,575 Ordinary Shares

Transaction 4 - 272,517 Ordinary Shares

J Foley

Transaction 1 - 44,481 Ordinary Shares

P Goerke

Transaction 1 - 35,975 Ordinary Shares

M McLintock

Transaction 1 - 36,008 Ordinary Shares

Transaction 3 - 103,713 Ordinary Shares

N Nicandrou

Transaction 1 - 43,518 Ordinary Shares

Transaction 2 - 68,191 Ordinary Shares

Transaction 3 - 356,525 Ordinary Shares

B Stowe

Transaction 1 - 25,324 ADRs (each ADR representing 2 Prudential plc Ordinary Shares of 5p each)

T Thiam

Transaction 1 - 101,134 Ordinary Shares

Transaction 3 - 337,078 Ordinary Shares

M Wells

Transaction 1 - 46,517 ADRs (each ADR representing 2 Prudential plc Ordinary Shares of 5p each)

10. Percentage of issued *class* acquired (*treasury shares* of that *class* should not be taken into account when calculating percentage)
M Coltman - less than 0.001%

R Devey - less than 0.02%

J Foley - less than 0.002%

P Goerke - less than 0.002%

M McLintock - less than 0.006%

N Nicandrou - less than 0.02%

B Stowe - less than 0.002%

T Thiam - less than 0.02%

M Wells - less than 0.004%

Edgar Filing: PRUDENTIAL PLC - Form 6-K

11. Number of *shares*, debentures or financial instruments relating to *shares* disposed

R Devey

Transaction 2 - 26,352 Ordinary Shares

Transaction 4 - 141,994 Ordinary Shares

M McLintock

Transaction 3 - 54,039 Ordinary Shares

N Nicandrou

Transaction 2 - 35,531 Ordinary Shares

Transaction 3 - 185,765 Ordinary Shares

T Thiam

Transaction 3 - 175,632 Ordinary Shares

12. Percentage of issued *class* disposed (*treasury shares* of that *class* should not be taken into account when calculating percentage)
R Devey - less than 0.007%

M McLintock - less than 0.003%

N Nicandrou - less than 0.009%

T Thiam - less than 0.007%

13. Price per *share* or value of transaction
Acquisition

Transaction 1 (M Coltman, R Devey, J Foley, P Goerke, M McLintock, N Nicandrou, T Thiam) - Ordinary Shares - £7.76

Transaction 1 (B Stowe, M Wells) - US\$ 24.52

Disposal

Transactions 2,3 and 4 (R Devey, M McLintock, N Nicandrou T Thiam) - Ordinary Shares £7.4931

14. Date and place of transaction
29 March 2012, London

29 March 2012, New York

15. Total holding following notification and total percentage holding following notification (any *treasury shares* should not be taken into account when calculating percentage)
M Coltman - 207,662 Ordinary Shares, less than 0.009%

R Devey - 631,975 Ordinary Shares, less than 0.03%

J Foley - 561,343 Ordinary Shares, less than 0.03%

Edgar Filing: PRUDENTIAL PLC - Form 6-K

P Georke - 143,545 Ordinary Shares, less than 0.006%

M McLintock - 790,794 Ordinary Shares, less than 0.04%

N Nicandrou - 707,134 Ordinary Shares, less than 0.03%

T Thiam - 1,795,419 Ordinary Shares, less than 0.08%

B Stowe - 1,141,785 Ordinary Shares (made up of 516,990 ADRs representing 1,033,980 Ordinary Shares plus 107,805 Ordinary Shares), less than 0.05%

M Wells - 1,219,976 Ordinary Shares (made up of 609,988 ADRs representing 1,219,976 Ordinary Shares), less than 0.05%

16. Date issuer informed of transaction
29 March 2012

If a person discharging managerial responsibilities has been granted options by the issuer complete the following boxes

17. Date of grant
N/A

18. Period during which or date on which it can be exercised
N/A

19. Total amount paid (if any) for grant of the option
N/A

20. Description of *shares* or debentures involved (*class* and number)
N/A

21. Exercise price (if fixed at time of grant) or indication that price is to be fixed at the time of exercise
N/A

22. Total number of *shares* or debentures over which options held following notification
N/A

23. Any additional information
N/A

24. Name of contact and telephone number for queries

Edgar Filing: PRUDENTIAL PLC - Form 6-K

Jennie Webb, Share Plans Adviser, 020 7548 2027

Name of duly authorised officer of *issuer* responsible for making notification

Clive Burns, Head of Group Secretariat, 020 7548 3805

Date of notification

30 March 2012

Prudential plc is not affiliated in any manner with Prudential Financial Inc, a company whose principal place of business is in the United States of America

Notes: This form is intended for use by an *issuer* to make an *RIS* notification required by *DR* 3.3.

- (1) An *issuer* making a notification in respect of a transaction relating to the *shares* or debentures of the *issuer* should complete boxes 1 to 16, 23 and 24.
- (2) An *issuer* making a notification in respect of a derivative relating the *shares* of the *issuer* should complete boxes 1 to 4, 6, 8,13, 14, 16, 23 and 24.
- (3) An *issuer* making a notification in respect of options granted to a *director/person discharging managerial responsibilities* should complete boxes 1 to 3 and 17 to 24.
- (4) An *issuer* making a notification in respect of a *financial instrument* relating to the *shares* of the *issuer* (other than a debenture) should complete boxes 1 to 4, 6, 8, 9, 11, 13, 14, 16, 23 and 24.

END