

NOMURA HOLDINGS INC
Form 6-K
November 13, 2014
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FORM 6-K
U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

**Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934**

Commission File Number: 1-15270

For the month of November 2014

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): _____

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from October 1, 2014 to October 31, 2014

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 13, 2014

By: /s/ Hajime Ikeda
Hajime Ikeda
Managing Director

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[Translation of Share Buyback Report for the reporting month from October 1, 2014 to October 31, 2014 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on November 13, 2014]

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

| | as of October 31, 2014 | |
|--|------------------------|-------------------------------------|
| | Number of Shares | Total Repurchase Amount (JPY) |
| Authorization pursuant to the resolution of the Board (October 28, 2014) (Period of repurchase: from November 13, 2014 to January 16, 2015) | 40,000,000 | 28,000,000,000 |
| Repurchases during this reporting month (Date of repurchase) | (Date) | |
| Total | 0 | 0 |
| Aggregate shares repurchased as of the end of this reporting month | 0 | 0 |
| Progress of share repurchase (%) | 0.0 | 0.0 |

2. Status of disposition

| | as of October 31, 2014 | |
|--|---|--|
| | Number of shares disposed in the reporting month | Aggregate amount of disposition (JPY) |
| Treasury shares disposed subject to procedures for issuance of new shares | (Date) | |
| Subtotal | | |
| Treasury shares canceled | (Date) | |

Subtotal

Treasury shares transferred upon merger, share exchange or demerger

(Date)

Subtotal

Other (upon request for purchasing less-than-a-full-unit shares)

(Date)

| | | |
|-------|----|--------|
| Oct 8 | 47 | 30,286 |
| Oct 9 | 24 | 15,151 |

Subtotal

71 45,437

Other (exercise of stock acquisition rights)

(Date)

| | | |
|--------|---------|-----------|
| Oct 1 | 7,400 | 7,400 |
| Oct 3 | 20,000 | 20,000 |
| Oct 6 | 4,000 | 4,000 |
| Oct 8 | 5,000 | 5,000 |
| Oct 9 | 3,000 | 3,000 |
| Oct 10 | 351,600 | 351,600 |
| Oct 15 | 8,200 | 8,200 |
| Oct 16 | 17,500 | 17,500 |
| Oct 17 | 25,800 | 25,800 |
| Oct 20 | 33,200 | 33,200 |
| Oct 21 | 33,500 | 33,500 |
| Oct 22 | 3,000 | 897,000 |
| Oct 23 | 378,800 | 1,272,800 |
| Oct 24 | 209,600 | 2,522,600 |
| Oct 27 | 126,000 | 126,000 |
| Oct 29 | 136,300 | 1,555,300 |
| Oct 30 | 20,500 | 20,500 |
| Oct 31 | 627,700 | 627,700 |

Subtotal

2,011,100 7,531,100

Total

2,011,171 7,576,537

3. Status of shares held in treasury

| As of the end of the reporting month | As of October 31, 2014 Number of Shares |
|--------------------------------------|--|
| Total number of issued shares | 3,822,562,601 |
| Number of shares held in treasury | 179,175,158 |