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TRI-CONTINENTAL CORP

Form NSAR-B

February 27, 2015

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000 B000000 12/31/2014  
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000 I000000 6.1  
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001 A000000 TRI-CONTINENTAL CORPORATION  
001 B000000 811-00266  
001 C000000 6173859594  
002 A000000 225 FRANKLIN STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02110  
003 000000 N  
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007 C010100 1  
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
008 B000001 A  
008 C000001 801-25943  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02110  
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
010 B000001 801-25943  
010 C010001 BOSTON  
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.  
012 B000001 84-05685  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02266  
012 C040001 8081  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 MINNEAPOLIS  
013 B020001 MN  
013 B030001 55402  
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.  
014 B000001 8-25845  
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.  
014 B000002 8-42582  
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.  
014 B000003 8-16791  
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.

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014 B000004 8-67196  
015 A000001 JPMORGAN CHASE BANK, N.A.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10005

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015 E010001 X  
015 A000002 AB SEB BANKAS  
015 B000002 S  
015 C010002 VILNIUS  
015 D010002 LITHUANIA  
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015 A000003 BANCO BCT, S.A.  
015 B000003 S  
015 C010003 SAN JOSE  
015 D010003 COSTA RICA  
015 E040003 X  
015 A000004 BANCO ITAU URUGUAY S.A.  
015 B000004 S  
015 C010004 MONTEVIDEO  
015 D010004 URUGUAY  
015 E040004 X  
015 A000005 BANCO NACIONAL DE MEXICO, S.A.  
015 B000005 S  
015 C010005 MEXICO, D.F.  
015 D010005 MEXICO  
015 E040005 X  
015 A000006 BANCO SANTANDER CHILE  
015 B000006 S  
015 C010006 SANTIAGO  
015 D010006 CHILE  
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.  
015 B000007 S  
015 C010007 WARSAW  
015 D010007 POLAND  
015 E040007 X  
015 A000008 BANK LEUMI LE-ISRAEL B.M.  
015 B000008 S  
015 C010008 TEL AVIV  
015 D010008 ISRAEL  
015 E040008 X  
015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.  
015 B000009 S  
015 C010009 TUNIS  
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015 E040009 X  
015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000010 S  
015 C010010 BRUSSELS  
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015 A000011 BNP PARIBAS SECURITIES SERVICES S.C.A.  
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015 A000013 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000013 S  
015 C010013 HESPERANGE  
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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.  
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE  
015 B000016 S  
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015 D010016 CANADA  
015 E040016 X  
015 A000017 CITIBANK A.S.  
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015 A000018 CITIBANK DEL PERU S.A.  
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015 A000019 CITIBANK EUROPE PLC  
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015 B000023 S  
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015 A000024 DBS BANK LTD.  
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015 A000026 DEUTSCHE BANK AG

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015 B000026 S  
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015 A000027 DEUTSCHE BANK AG  
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015 A000028 DEUTSCHE BANK AG  
015 B000028 S  
015 C010028 LONDON  
015 D010028 UNITED KINGDOM  
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015 A000029 FIRSTRAND BANK LIMITED  
015 B000029 S  
015 C010029 JOHANNESBURG  
015 D010029 SOUTH AFRICA  
015 E040029 X  
015 A000030 HSBC BANK (CHINA) COMPANY LIMITED  
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015 C010030 SHANGHAI  
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015 A000031 HSBC BANK (VIETNAM) LTD.

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015 A000032 HSBC BANK ARGENTINA S.A.  
015 B000032 S  
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015 E040032 X  
015 A000033 HSBC BANK BERMUDA LIMITED  
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015 A000034 HSBC BANK MALAYSIA BERHAD  
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015 E040034 X  
015 A000035 HSBC BANK MIDDLE EAST LIMITED  
015 B000035 S  
015 C010035 AL SEEF  
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015 E040035 X  
015 A000036 HSBC BANK MIDDLE EAST LIMITED  
015 B000036 S  
015 C010036 SAFAT  
015 D010036 KUWAIT  
015 E040036 X  
015 A000037 HSBC BANK MIDDLE EAST LIMITED  
015 B000037 S  
015 C010037 BEIRUT  
015 D010037 LEBANON  
015 E040037 X  
015 A000038 HSBC BANK MIDDLE EAST LIMITED  
015 B000038 S

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015 C010038 AMMAN  
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015 E040038 X  
015 A000039 HSBC BANK MIDDLE EAST LIMITED  
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015 E040039 X  
015 A000040 HSBC BANK MIDDLE EAST LIMITED  
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015 A000041 HSBC BANK OMAN S.A.O.G.  
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015 A000042 HSBC BANK PLC  
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015 A000043 HSBC SAUDI ARABIA LIMITED  
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015 E040043 X  
015 A000044 ISLANDSBANKI HF.  
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015 C010044 REYKJAVIK  
015 D010044 ICELAND  
015 E040044 X  
015 A000045 J.P. MORGAN AG  
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015 C010045 FRANKFURT  
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015 E040045 X  
015 A000046 J.P. MORGAN BANK INTERNATIONAL  
015 B000046 S  
015 C010046 MOSCOW  
015 D010046 RUSSIA  
015 E040046 X  
015 A000047 J.P. MORGAN S.A. DTVM  
015 B000047 S  
015 C010047 SAO PAULO  
015 D010047 BRAZIL  
015 E040047 X  
015 A000048 JPMORGAN CHASE BANK, N.A.  
015 B000048 S  
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015 A000049 JPMORGAN CHASE BANK, N.A.  
015 B000049 S  
015 C010049 QUARRY BAY  
015 D010049 HONG KONG  
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015 A000050 JPMORGAN CHASE BANK, N.A.  
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015 D010050 INDIA  
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015 B000051 S  
015 C010051 WELLINGTON  
PAGE 7  
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015 A000052 JPMORGAN CHASE BANK, N.A.  
015 B000052 S  
015 C010052 TAIPEI  
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015 A000053 JPMORGAN CHASE BANK, N.A.  
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015 C010053 LONDON  
015 D010053 UNITED KINGDOM  
015 E040053 X  
015 A000054 MIZUHO BANK, LTD.  
015 B000054 S  
015 C010054 TOKYO  
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015 A000055 NORDEA BANK AB  
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015 C010055 STOCKHOLM  
015 D010055 SWEDEN  
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015 A000056 NORDEA BANK DANMARK A/S  
015 B000056 S  
015 C010056 COPENHAGEN  
015 D010056 DENMARK  
015 E040056 X  
015 A000057 NORDEA BANK FINLAND PLC  
015 B000057 S  
015 C010057 HELSINKI  
015 D010057 FINLAND  
015 E040057 X  
015 A000058 NORDEA BANK NORGE ASA  
015 B000058 S  
015 C010058 OSLO  
015 D010058 NORWAY  
015 E040058 X  
015 A000059 PJSC CITIBANK  
015 B000059 S  
015 C010059 KIEV  
015 D010059 UKRAINE  
015 E040059 X  
015 A000060 PRIVREDNA BANKA ZAGREB D.D.  
015 B000060 S  
015 C010060 ZAGREB  
015 D010060 CROATIA  
015 E040060 X  
015 A000061 REPUBLIC BANK LIMITED  
015 B000061 S  
015 C010061 PORT OF SPAIN  
015 D010061 TRINIDAD AND TOBAGO  
PAGE 8  
015 E040061 X  
015 A000062 ROYAL BANK OF CANADA  
015 B000062 S  
015 C010062 TORONTO

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015 D010062 CANADA  
015 E040062 X  
015 A000063 SANTANDER INVESTMENT, S.A.  
015 B000063 S  
015 C010063 MADRID  
015 D010063 SPAIN  
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015 A000064 SB HSBC BANK KAZAKHSTAN JSC  
015 B000064 S  
015 C010064 ALMATY  
015 D010064 KAZAKHSTAN  
015 E040064 X  
015 A000065 SOCIETE GENERALE MAROCAINE DE BANQUES  
015 B000065 S  
015 C010065 CASABLANCA  
015 D010065 MOROCCO  
015 E040065 X  
015 A000066 STANBIC BANK TANZANIA LIMITED  
015 B000066 S  
015 C010066 DAR ES SALAAM  
015 D010066 TANZANIA  
015 E040066 X  
015 A000067 STANBIC BANK ZIMBABWE LIMITED  
015 B000067 S  
015 C010067 HARARE  
015 D010067 ZIMBABWE  
015 E040067 X  
015 A000068 STANBIC IBTC BANK PLC  
015 B000068 S  
015 C010068 LAGOS  
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015 A000069 STANDARD BANK LIMITED, MALAWI  
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015 C010069 BLANTYRE  
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015 A000070 STANDARD BANK NAMIBIA LIMITED  
015 B000070 S  
015 C010070 WINDHOEK  
015 D010070 NAMIBIA  
015 E040070 X  
015 A000071 STANDARD CHARTERED BANK  
015 B000071 S  
015 C010071 AMMAN  
015 D010071 JORDAN  
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015 A000072 STANDARD CHARTERED BANK  
015 B000072 S  
015 C010072 DHAKA  
015 D010072 BANGLADESH  
015 E040072 X  
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015 C010073 KARACHI  
015 D010073 PAKISTAN  
015 E040073 X  
015 A000074 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD  
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015 D010074 THAILAND

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015 E040074 X  
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015 B000075 S  
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015 A000076 STANDARD CHARTERED BANK COTE D'IVOIRE SA  
015 B000076 S  
015 C010076 ABIDJAN  
015 D010076 IVORY COAST  
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015 A000077 STANDARD CHARTERED BANK GHANA LIMITED  
015 B000077 S  
015 C010077 ACCRA  
015 D010077 GHANA  
015 E040077 X  
015 A000078 STANDARD CHARTERED BANK KENYA LIMITED  
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015 C010078 NAIROBI  
015 D010078 KENYA  
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015 B000079 S  
015 C010079 SEOUL  
015 D010079 SOUTH KOREA  
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015 B000080 S  
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015 A000081 STANDARD CHARTERED BANK ZAMBIA PLC  
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015 A000082 SWEDBANK AS  
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015 B000082 S  
015 C010082 TALLINN  
015 D010082 ESTONIA  
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015 A000083 SWEDBANK AS  
015 B000083 S  
015 C010083 RIGA  
015 D010083 LATVIA  
015 E040083 X  
015 A000084 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED  
015 B000084 S  
015 C010084 TOKYO  
015 D010084 JAPAN  
015 E040084 X  
015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000085 S  
015 C010085 EBENE  
015 D010085 MAURITIUS  
015 E040085 X  
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000086 S  
015 C010086 TAGUIG CITY  
015 D010086 PHILIPPINES  
015 E040086 X



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015 A000087 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000087 S  
015 C010087 COLOMBO  
015 D010087 SRI LANKA  
015 E040087 X  
015 A000088 UBS AG  
015 B000088 S  
015 C010088 ZURICH  
015 D010088 SWITZERLAND  
015 E040088 X  
015 A000089 UNICREDIT BANK AUSTRIA AG  
015 B000089 S  
015 C010089 VIENNA  
015 D010089 AUSTRIA  
015 E040089 X  
015 A000090 UNICREDIT BANK CZECH RPB. & SLOVAKIA A.S.  
015 B000090 S  
015 C010090 PRAGUE  
015 D010090 CZECH REPUBLIC  
015 E040090 X  
015 A000091 UNICREDIT BANK CZECH RPB. & SLOVAKIA A.S.  
015 B000091 S  
015 C010091 BRATISLAVA  
015 D010091 SLOVAK REPUBLIC  
015 E040091 X  
015 A000092 UNICREDIT BANK SRBIJA A.D.  
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015 D010092 SERBIA  
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015 A000093 UNICREDIT BANKA SLOVENIJA D.D.  
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020 A000001 GOLDMAN, SACHS & CO.  
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020 A000003 BARCLAYS CAPITAL  
020 B000003 13-3297824  
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020 A000004 UBS SECURITIES LLC  
020 B000004 13-3873456  
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020 A000005 CITIGROUP GLOBAL MARKETS INC.  
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020 B000006 13-2655998  
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020 A000007 DEUTSCHE BANK SECURITIES INC.  
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020 A000008 RBC CAPITAL MARKETS CORPORATION

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022 A000007 DEUTSCHE BANK SECURITIES INC.  
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025 A000001 Citigroup, Inc.  
025 B000001 11-2418191  
025 C000001 E  
025 D000001 19290  
025 A000002 JPMorgan Chase & Co.  
025 B000002 13-3224016  
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025 A000003 The Goldman Sachs Group, Inc.

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062	D000000	0.0

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062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 Y  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 Y  
PAGE 16  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 Y  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 Y  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 N  
070 P010000 Y  
070 P020000 N  
070 Q010000 Y  
070 Q020000 N

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070	R010000	Y	
070	R020000	N	
071	A000000		1133295
071	B000000		1165070
071	C000000		1495901
071	D000000		76
072	A000000	12	
072	B000000		17588
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072	J000000		20
072	K000000		65
072	L000000		0
072	M000000		58
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		45
072	S000000		23
072	T000000		0
072	U000000		0
072	V000000		74
072	W000000		295
	PAGE	17	
072	X000000		7172
072	Y000000		0
072	Z000000		44773
072AA	000000		179254
072BB	000000		0
072CC	010000		0
072CC	020000		77987
072DD	010000		0
072DD	020000		0
072EE	000000		0
073	A010000		0.7505
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000
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074	B000000		0
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074	E000000		134133
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074	G000000		0
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074	M000000		129
074	N000000		1558101
074	O000000		7566
074	P000000		96
074	Q000000		0
074	R010000		0

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074	R020000	0
074	R030000	0
074	R040000	1517
074	S000000	37637
074	T000000	1511285
074	U010000	61038
074	U020000	0
074	V010000	24.76
074	V020000	0.00
074	W000000	0.0000
074	X000000	15191
074	Y000000	501
075	A000000	0
075	B000000	1478629
076	000000	21.41
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
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077	E000000	Y
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	ICI Mutual Insurance Compnay
080	B000000	N/A
080	C000000	5000
081	A000000	Y
081	B000000	1
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	130
086	A020000	2697
086	B010000	1879
086	B020000	38694
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	TRI-CONTINENTAL - COMMON STOCK

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087 A020000 895436103  
087 A030000 TY  
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK  
087 B020000 895436202  
087 C010000 TRI-CONTINENTAL - WARRANT  
087 C020000 895436111  
088 A000000 N  
088 B000000 N  
088 C000000 N  
PAGE 19  
088 D000000 N  
SIGNATURE SEE BELOW  
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston

State of: Massachusetts

Date: February 19,

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

-----  
Michael G. Clarke  
Treasurer

/s/ Joseph F. DiMaria

-----  
Joseph F. DiMaria  
Chief Accounting Officer  
Witness

Tri-Continental Corporation