APPLIED GENETIC TECHNOLOGIES CORP Form 8-K November 17, 2016

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(D)

OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): November 14, 2016

APPLIED GENETIC TECHNOLOGIES CORPORATION

(Exact Name of Registrant as Specified in Charter)

Delaware (State or other jurisdiction

001-36370 (Commission

59-3553710 (IRS Employer

of incorporation)

File Number) 11801 Research Drive **Identification Number**)

Suite D

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Alachua, Florida 32615

(Address of principal executive offices) (Zip Code)

(386) 462-2204

(Registrant s telephone number, including area code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below).

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On November 17, 2016, we announced the appointment of Dr. Michael Goldstein as our new chief medical officer, which will become effective on December 1, 2016. On November 14, 2016, we agreed with Dr. Jeff Chulay, our current senior vice president and chief medical officer and one of our named executive officers, that upon the effectiveness of Dr. Goldstein s appointment as our new chief medical officer, he will assume the role of our executive director of clinical strategy.

We thank Dr. Chulay for his service as our senior vice president and chief medical officer and look forward to his continued contributions in his new role.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

APPLIED GENETIC TECHNOLOGIES CORPORATION

By: /s/ Lawrence E. Bullock Lawrence E. Bullock Chief Financial Officer

Date: November 17, 2016