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TRI-CONTINENTAL CORP

Form NSAR-B

February 27, 2017

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000 B000000 12/31/2016
000 C000000 0000099614
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
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007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
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010 C010001 BOSTON
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
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012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.

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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 D010003 COSTA RICA
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015 A000004 BANCO ITAU URUGUAY S.A.
015 B000004 S
015 C010004 MONTEVIDEO
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015 E040004 X
015 A000005 BANCO NACIONAL DE MEXICO, S.A.
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015 E040005 X
015 A000006 BANCO SANTANDER CHILE
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
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015 C010007 WARSAW
015 D010007 POLAND
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
015 B000008 S
015 C010008 TEL AVIV
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
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015 A000025 DEUTSCHE BANK AG
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015 A000029 FIRSTRAND BANK LIMITED
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015 C010038 DOHA
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PAGE 7
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015 D010059 CROATIA
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015 A000060 REPUBLIC BANK LIMITED
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015 D010060 TRINIDAD AND TOBAGO
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015 A000061 ROYAL BANK OF CANADA
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015 C010062 MADRID

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015 D010062 SPAIN
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015 B000065 S
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015 E040065 X
015 A000066 STANBIC BANK ZIMBABWE LIMITED
015 B000066 S
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015 A000067 STANBIC IBTC BANK PLC
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015 A000069 STANDARD BANK NAMIBIA LIMITED
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015 D010069 NAMIBIA
015 E040069 X
015 A000070 STANDARD CHARTERED BANK
015 B000070 S
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015 D010070 JORDAN
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015 A000071 STANDARD CHARTERED BANK
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015 D010071 BANGLADESH
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PAGE 9
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015 C010072 KARACHI
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015 A000073 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
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015 D010073 THAILAND
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015 A000074 STANDARD CHARTERED BANK BOTSWANA LIMITED
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015 D010074 BOTSWANA

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015 E040074 X
015 A000075 STANDARD CHARTERED BANK COTE D'IVOIRE SA
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015 D010075 IVORY COAST
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015 A000082 SWEDBANK AS
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015 C010082 RIGA
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015 A000084 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000084 S
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015 D010084 MAURITIUS
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015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000085 S
015 C010085 TAGUIG CITY
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015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 COLOMBO
015 D010086 SRI LANKA
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015 A000087 UBS Switzerland AG
015 B000087 S
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015 D010087 SWITZERLAND
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015 A000088 UNICREDIT BANK AUSTRIA AG
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015 D010088 AUSTRIA
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015 D010089 CZECH REPUBLIC
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015 D010090 SLOVAK REPUBLIC
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015 A000094 HSBC BANK PLC
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020 B000002 13-5033225
020 C000002 120
020 A000003 MORGAN STANLEY

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020 B000003 13-2655998
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020 A000004 BARCLAYS CAPITAL
020 B000004 13-3297824
020 C000004 72
020 A000005 UBS SECURITIES LLC
020 B000005 13-3873456
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020 A000006 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000006 13-5659485
020 C000006 38
020 A000007 J.P. MORGAN SECURITIES, INC.
020 B000007 13-3224016
020 C000007 31
020 A000008 DEUTSCHE BANK SECURITIES INC.
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020 A000009 WILLIAM BLAIR & COMPANY, L.L.C.
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025 A000002 Citigroup Capital XIII
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072	I000000		564
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074	C000000		0

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074 D000000 350865
 074 E000000 109448
 074 F000000 1018945
 074 G000000 0
 074 H000000 0
 074 I000000 21064
 074 J000000 15280
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 074 P000000 152
 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 1724
 074 S000000 37637

PAGE 18

074 T000000 1470843
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 074 V010000 25.91
 074 V020000 0.00
 074 W000000 0.0000
 074 X000000 13270
 074 Y000000 353
 075 A000000 0
 075 B000000 1402058
 076 000000 22.05

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 077 C000000 N
 077 D000000 N
 077 E000000 Y
 077 F000000 N
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 077 I000000 N
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 077 K000000 N
 077 L000000 N
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 077 O000000 N
 077 P000000 N
 077 Q010000 N
 077 Q020000 Y
 077 Q030000 N

078 000000 N
 080 A000000 ICI Mutual Insurance Company
 080 B000000 n/a
 080 C000000 5000
 081 A000000 Y
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 084 B000000 0

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085 A000000 Y
085 B000000 N
086 A010000 86
086 A020000 1697
086 B010000 2914
086 B020000 59820
086 C010000 0
086 C020000 0
086 D010000 0
PAGE 19
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 Tri-Continental - Common Stock:
087 A020000 895436103
087 A030000 TY
087 B010000 Tri-Continental - Preferred Stock
087 B020000 89543620
087 C010000 Tri-Continental - Warrant
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: February 17, 2017

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation
/s/ Michael G. Clarke

Michael G. Clarke
Treasurer
/s/ Martha A. Skinner

Martha A. Skinner
Assistant Treasurer
Witness
TRI-CONTINENTAL CORPORATION