NOMURA HOLDINGS INC Form 6-K June 12, 2018 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

**Commission File Number: 1-15270** 

For the month of June 2018

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

# **EXHIBIT**

# Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from May 1, 2018 to May 31, 2018.

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

# NOMURA HOLDINGS, INC.

Date: June 12, 2018

By: /s/ Hajime Ikeda

Hajime Ikeda

Senior Managing Director

[Translation of Share Buyback Report for the reporting month from May 1, 2018 to May 31, 2018 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on June 12, 2018]

**Class of Shares: Common Stock** 

### 1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors ( Board )

as of May 31, 2018 Total Repurchase Number of Shares Amount (JPY) Authorization pursuant to the resolution of the Board (April 26, 2018) (Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results)) 100,000,000 70,000,000,000 Repurchases during this reporting month (Date of repurchase) (Date) **Total** 0 0 Aggregate shares repurchased as of the end of this reporting 0 month 0 Progress of share repurchase (%) 0 0

#### 2. Status of disposition

as of May 31, 2018

Number of shares disposed in the reporting Aggregate amount of month disposition (JPY)

Treasury shares disposed subject to procedures for (Date)

issuance of new shares

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Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares )	(Date)		
Subtotal			
Other (exercise of stock acquisition rights)	(Date)	861,400	861,400
	May 1	218,800	1,708,800
	May 2	878,300	878,300
	May 7	190,500	1,081,500
	May 8	84,700	978,700
	May 9	193,600	193,600
	May 10	211,600	211,600
	May 11	210,200	1,402,200
	May 14	288,200	288,200
	May 15	199,800	199,800
	May 16	80,000	3,355,000
	May 17	156,800	156,800
	May 18	148,100	743,100
	May 21	78,300	3,353,300
	May 22	151,000	1,641,000
	May 23	233,700	829,700
	May 24	8,900	8,900
	May 25	23,200	321,200
	May 28	41,100	636,100

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	May 29	82,300	3,360,300
	May 30	7,500	603,500
	May 31		
Subtotal		4,348,000	22,813,000
Total		4,348,000	22,813,000

# 3. Status of shares held in treasury

	as of May 31, 2018
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	244,689,146