

NOMURA HOLDINGS INC
Form 6-K
September 11, 2018
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of September 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from August 1, 2018 to August 31, 2018.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: September 11, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

[Translation of Share Buyback Report for the reporting month from August 1, 2018 to August 31, 2018

filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on September 11, 2018]

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of August 31, 2018

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
	August 29 1,300,000	674,054,720
	August 30 1,350,000	695,926,160
	August 31 1,350,000	685,967,040
Total	4,000,000	2,055,947,920
Aggregate shares repurchased as of the end of this reporting month	4,000,000	2,055,947,920
Progress of share repurchase (%)	4.0	2.9

Table of Contents**2. Status of disposition**

as of August 31, 2018

	Number of shares disposed in the reporting month (Date)	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares		
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
	August 22	1 500
Subtotal		1 500
Other (exercise of stock acquisition rights)	(Date)	59,700 1,845,700
	August 1	5,700 5,700
	August 2	88,000 1,573,000
	August 3	41,400 3,915,400
	August 6	34,900 332,900
	August 7	14,100 14,100
	August 8	6,900 6,900
	August 9	19,900 19,900
	August 10	11,900 11,900
	August 13	26,800 26,800
	August 14	73,800 73,800
	August 15	88,600 1,578,600
	August 16	131,200 726,200

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	August 17	18,600	1,210,600
	August 20	11,500	11,500
	August 21	3,000	897,000
	August 22	78,900	674,900
	August 23	5,000	1,495,000
	August 24	50,500	646,500
	August 27	9,500	2,095,500
	August 28	19,400	19,400
	August 29	5,500	303,500
	August 30	15,100	1,505,100
	August 31		
Subtotal		819,900	18,989,900
Total		819,901	18,990,400

3. Status of shares held in treasury

as of August 31, 2018

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	246,538,184