J. Alexander's Holdings, Inc. Form 8-K November 07, 2018

#### **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

### **WASHINGTON, DC 20549**

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 7, 2018

J. ALEXANDER S HOLDINGS, INC.

(Exact Name of Registrant as Specified in Charter)

Tennessee (State or Other Jurisdiction 001-37473 (Commission 47-1608715 (IRS Employer

of Incorporation) File Number) Identification No.) 3401 West End Avenue, Suite 260, P.O. Box 24300, Nashville, Tennessee 37203

#### Edgar Filing: J. Alexander's Holdings, Inc. - Form 8-K

(Address of Principal Executive Offices) (Zip Code)

Registrant s telephone number, including area code: (615) 269-1900

#### **Not Applicable**

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

#### Item 2.02 Results of Operations and Financial Condition.

On November 7, 2018, J. Alexander s Holdings, Inc. (the Company) issued a press release announcing earnings results for the Company and its subsidiaries for its fiscal third quarter ended September 30, 2018. A copy of the press release is being furnished as Exhibit 99.1.

The information in this Item 2.02 in this Current Report on Form 8-K, including Exhibit 99.1 hereto, shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that section, and shall not be deemed to be incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by specific reference in such filing.

#### Item 9.01 Financial Statements and Exhibits.

Exhibit 99.1 Press Release issued by J. Alexander s Holdings, Inc., dated November 7, 2018.

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## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

Date: November 7, 2018

## J. Alexander s Holdings, Inc.

By: /s/ Mark A. Parkey Mark A. Parkey

Chief Financial Officer, Executive Vice President &

Treasurer

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