Edgar Filing: PIMCO CORPORATE & INCOME OPPORTUNITY FUND - Form 4/A

PIMCO CORI Form 4/A February 06, 2	PORATE & INC	COME OP	PORTUI	NITY FU	ND							
	_									PROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287					
Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruc	Filed pure Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP C SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 17(a) of the Public Utility Holding Company Act of 1935 or Sec							Expires:January 3 200Estimated average burden hours per response0.			
1(b).												
(Print or Type Re	esponses)											
1. Name and Address of Reporting Person <u>*</u> GROSS WILLIAM H			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
		PIMCO CORPORATE & INCOME OPPORTUNITY FUND [PTY]					(Check	(Check all applicable)				
(Last)		(3. Date of I Month/Da)1/13/20	-	nsaction		- - t	pelow)	itle \underline{X}_{0} 00% 00% 00% 00% 00% 00% 00% 00% 00% 00	Owner er (specify		
				nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
DENVER, CO	O 80206						Ī	Person	ore than one ree	porting		
(City)	(State)	(Zip)	Table	I - Non-De	rivative Se	ecuriti	ies Acqui	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	on Date, if Transaction(A) or Dispose Code (Instr. 3, 4 and Day/Year) (Instr. 8)			sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D)	Price \$	(Instr. 3 and 4)				
COMMON STOCK	01/13/2015			S	70,246	D	φ 16.16 (1)	210,741	Ι	BY SPOUSE		
COMMON STOCK								1,161,356	D			
COMMON STOCK								708,611	I	BY CHILD TRUST #1		
COMMON STOCK								694,668	Ι	BY CHILD		

			TRUST #4
COMMON STOCK	724,874	I	BY CHILD TRUST #7
COMMON STOCK	13,400	Ι	BY CHILD TRUST #8
COMMON STOCK	10,762	Ι	BY CHILD TRUST #10
COMMON STOCK	10,762	Ι	BY CHILD TRUST #11
COMMON STOCK	10,763	Ι	BY CHILD TRUST #12

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Securi	tle and unt of erlying rities r. 3 and 4)	Derivative	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

GROSS WILLIAM H 151 DETROIT STREET DENVER, CO 80206

Signatures

/s/ Amy J. Stefonick, Attorney-in-Fact for William H. 02/06/2015 Gross

**Signature of Reporting Person

Date

SEE REMARKS

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were disposed of in multiple transactions at prices ranging from \$16.065 to \$16.34, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the issuer, or the

(1) If the process is provide to the issuer, any security indices of the issuer, of the issuer,

Remarks:

The Reporting Person is a former Portfolio Manager of the Issuer. The Reporting Person ceased being affiliated with the Issue

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.