### MCDONALD R BRUCE

Form 4 March 06, 2007

## FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MCDONALD R BRUCE			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	JOHNSON CONTROLS INC [JCI]  3. Date of Earliest Transaction	(Check all applicable)		
5757 N. GRE AVENUE, P.			(Month/Day/Year) 03/05/2007	Director 10% Owner Officer (give title Other (specify below) Exec Vice President & CFO		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)		
MILWAUKEE, WI 53201-0591				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acq	quired, Disposed of, or Beneficially Owned		

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)

Reported (A) Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

By 401(k) Common  $4,060.26 \frac{(1)}{2}$ Ι Plan -Stock Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title ar Underlyin (Instr. 3 a
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units/Long-Term Incentive Plan	<u>(2)</u>					(3)	<u>(3)</u>	Commo
Phantom Stock Units / Excess Benefit Plan-Common	<u>(2)</u>	03/05/2007		I	790.306	<u>(5)</u>	<u>(5)</u>	Commo
Phantom Stock Units/Restricted Stock Grant	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Commo
Stock Option	\$ 40.06					11/26/2003	11/26/2011	Commo
Stock Option	\$ 40.2975					11/20/2004	11/20/2012	Commo
Stock Option	\$ 52.55					11/19/2005	11/19/2013	Commo
Stock Option	\$ 61.69					11/17/2006 <u>(9)</u>	11/17/2014	Commo
Stock Option	\$ 67.685					11/16/2007 <u>(9)</u>	11/16/2015	Commo
Stock Option	\$ 71.895					10/02/2008(9)	10/02/2016	Commo

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
MCDONALD R BRUCE 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			Exec Vice President & CFO			
Signatures						
Arlene D. Gumm Attorney-In-Fact for McDonald	R. Bruce	0	3/06/2007			

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\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of underlying securities is based on the stock fund balance on March 5, 2007. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market

- (1) fund. The stock account balance reflected in this report is based on a March 5, 2007, stock fund price of \$94.90 per share. Includes a company match of 110.432 shares which were deposited in the account on February 22, 2007, at a price of \$99.21 per share and the purchase of 70.564 shares through employee payroll contributions January 12-February 28, 2007, at prices ranging from \$86.26 to \$96.80 per share.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (3) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (4) Includes 72.466 phantom stock units acquired through the reinvestment of dividends on January 3, 2007, at a price or \$85.88 per phantom stock unit.
- Phantom stock units accrued under the Johnson Controls Equalization 401(k) Benefit Plan are to be settled 100% in cash upon the reporting person's termination of employment with the company. Phantom stock units accrue in the plan through monthly payroll deductions and the plan mirrors the company's broad-based 401(k) Plan.
- Includes 18.913 phantom stock units acquired through payroll deductions on December 29, 2006, at a price of \$85.92 per phantom stock unit. Also includes 14.529 phantom stock units acquired through the reinvestment of dividends on January 3, 2007, at a price or \$85.88 per unit.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were awarded under the Johnson Controls Executive Deferred Restricted Plan. The units are to be settled 100% in cash upon the reporting person's termination of employment with the company, subject to vesting provisions.
- (8) Includes 116.085 phantom stock units acquired through the reinvestment of dividends on January 3, 2007, at a price or \$85.88 per phantom stock unit.
- (9) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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