

Spencer Richard V  
Form 4  
January 05, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Spencer Richard V

2. Issuer Name and Ticker or Trading Symbol  
INTERCONTINENTALEXCHANGE INC [ICE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
2100 RIVEREDGE PARKWAY, SUITE 500  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/03/2007

\_\_\_ Director \_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_ Other (specify below)  
CFO & Senior Vice President

ATLANTA, GA 30328

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	01/03/2007		A		29,296 <sup>(1)</sup> \$ 0	D	
Common Stock	01/03/2007		F		11,572 <sup>(3)</sup> \$ 115.72	D	
Common Stock	01/04/2007		M		6,000 A \$ 8	D	
Common Stock	01/04/2007		S <sup>(4)</sup>		2,000 D \$ 117.57	D	
Common Stock	01/04/2007		S <sup>(4)</sup>		500 D \$ 117.75	D	

Edgar Filing: Spencer Richard V - Form 4

Common Stock	01/04/2007	S <sup>(4)</sup>	1,100	D	\$ 117.56	80,973 <sup>(2)</sup>	D
Common Stock	01/04/2007	S <sup>(4)</sup>	400	D	\$ 117.81	80,573 <sup>(2)</sup>	D
Common Stock	01/04/2007	S <sup>(4)</sup>	300	D	\$ 115	80,273 <sup>(2)</sup>	D
Common Stock	01/04/2007	S <sup>(4)</sup>	500	D	\$ 114.8	79,773 <sup>(2)</sup>	D
Common Stock	01/04/2007	S <sup>(4)</sup>	700	D	\$ 114.76	79,073 <sup>(2)</sup>	D
Common Stock	01/04/2007	S <sup>(4)</sup>	500	D	\$ 115.08	78,573 <sup>(2)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted Stock Unit Awards	<u>(5)</u>	01/03/2007		M	29,296	<u>(6)</u> 10/11/2014	Common Stock 29,296
Employee Stock Option (right to buy)	\$ 8	01/04/2007		M	6,000	<u>(7)</u> 12/11/2013	Common Stock 6,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Reporting Owners

Spencer Richard V  
2100 RIVEREDGE PARKWAY  
SUITE 500  
ATLANTA, GA 30328

CFO &  
Senior Vice  
President

## Signatures

/s/ Andrew J. Surdykowski,  
Attorney-in-fact

01/05/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 29,296 shares of Common Stock, par value \$0.01 per share (the "Common Stock"), delivered pursuant to 29,296 vested restricted stock units.
- (2) As previously reported, the reporting person also indirectly beneficially owns 2,000 shares of Common Stock, which were purchased by the reporting person's children on November 21, 2005.
- (3) Represents shares of Common Stock underlying vested restricted stock units that are being withheld to satisfy payment of the Issuer's tax withholding obligation.
- (4) The sales reported in this Form 4 were effected pursuant to a previously disclosed Rule 10b5-1 trading plan adopted by the reporting person on May 17, 2006.
- (5) One-for-one.
- (6) These restricted stock units are fully vested.
- (7) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.