

SCHATZ DOUGLAS S

Form 4

February 10, 2009

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SCHATZ DOUGLAS S & SCHATZ  
JILL E FAMILY TRUST

2. Issuer Name **and** Ticker or Trading  
Symbol  
ADVANCED ENERGY  
INDUSTRIES INC [AEIS]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
PO BOX 481

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/09/2009

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting  
Person

FORT COLLINS, CO 80522

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	02/09/2009		S	1,000 D \$ 9	8,645,035 <sup>(1)</sup> <u>(2)</u>	D	
Common Stock	02/09/2009		S	200 D \$ 9	8,644,835 <sup>(1)</sup> <u>(2)</u>	D	
Common Stock	02/09/2009		S	1,500 D \$ 9.01	8,643,335 <sup>(1)</sup> <u>(2)</u>	D	
Common Stock	02/09/2009		S	200 D \$ 9.01	8,643,135 <sup>(1)</sup> <u>(2)</u>	D	
Common Stock	02/09/2009		S	1,600 D \$ 9.02	8,641,535 <sup>(1)</sup> <u>(2)</u>	D	

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Common Stock	02/09/2009	S	222	D	\$ 8,641,313 <sup>(1)</sup> 9.02 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	2,700	D	\$ 8,638,613 <sup>(1)</sup> 9.03 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	400	D	\$ 8,638,213 <sup>(1)</sup> 9.04 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	3,200	D	\$ 8,635,013 <sup>(1)</sup> 9.04 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	220	D	\$ 8,634,793 <sup>(1)</sup> 9.03 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	400	D	\$ 8,634,393 <sup>(1)</sup> 9.03 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	1,500	D	\$ 8,632,893 <sup>(1)</sup> 9.04 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	602	D	\$ 8,632,291 <sup>(1)</sup> 9.05 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	19	D	\$ 8,632,272 <sup>(1)</sup> 9.05 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	700	D	\$ 9 8,631,572 <sup>(1)</sup> <sub>(2)</sub>	D
Common Stock	02/09/2009	S	400	D	\$ 8,631,172 <sup>(1)</sup> 9.01 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	400	D	\$ 8,630,772 <sup>(1)</sup> 9.02 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	100	D	\$ 8,630,672 <sup>(1)</sup> 9.03 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	300	D	\$ 8,630,372 <sup>(1)</sup> 9.04 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	300	D	\$ 8,630,072 <sup>(1)</sup> 9.05 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	200	D	\$ 8,629,872 <sup>(1)</sup> 9.06 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	400	D	\$ 8,629,472 <sup>(1)</sup> 9.09 <sub>(2)</sub>	D
Common Stock	02/09/2009	S	100	D	\$ 8,629,372 <sup>(1)</sup> 9.11 <sub>(2)</sub>	D
Common Stock					26,350 <sup>(3)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not**

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(9-02)

required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V	(A)	(D)	Amount or Number of Shares	

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
SCHATZ DOUGLAS S & SCHATZ JILL E FAMILY TRUST PO BOX 481 FORT COLLINS, CO 80522	X
Schatz Jill E P.O. BOX 481 FORT COLLINS, CO 80522	X
SCHATZ DOUGLAS S P.O. BOX 481 FORT COLLINS, CO 80522	X

## Signatures

/s/ John D. Pirnot Attorney-in-fact	02/10/2009
**Signature of Reporting Person	Date
John D. Pirnot Attorney-in-fact	02/10/2009
**Signature of Reporting Person	Date
John D. Pirnot Attorney-in-fact	02/10/2009
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock units held directly by Douglas S. Schatz, who is a director of the Issuer
- (2) The sales reported in this Form 4 were effected pursuant to Rule 10b5-1 trading plan adopted by the reporting persons on November 26, 2008.
- (3) These shares are owned directly by Douglas S. Schatz & Jill E. Schatz Family Trust, a ten percent owner of the issuer, and indirectly by Douglas S. Schatz and Jill E. Schatz, co-trustees of the trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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