### Edgar Filing: Kasper Michael A - Form 4

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Form 4											
October 08,	ЛЛ								PPROVA	.L	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287		
Check t if no lou subject Section	to <b>STATEN</b> 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								y 31, 2005	
Form 4 orresponseForm 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,obligationsSection 17(a) of the Public Utility Holding Company Act of 1935 or Sectionsee Instruction30(h) of the Investment Company Act of 19401(b).1000000000000000000000000000000000000										0.5	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Kasper Michael A			2. Issuer Name <b>and</b> Ticker or Trading Symbol ENERGY FOCUS, INC/DE [EFOI]				Issuer				
(Last) (First) (Middle) 32000 AURORA ROAD			3. Date of Earliest Transaction (Month/Day/Year) 09/30/2010			(Check all applicable)         X_ Director       10% Owner         Officer (give title below)       0ther (specify below)					
		4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>						
SOLON, C							Person		-F8		
(City)	(State)	(Zip)	Table	e I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	1	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any (Month/Day	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownershi (Instr. 4)	ıl	
Reminder: Re	port on a separate line	e for each clas									
					Perso inforr requi	ons who res nation con red to resp ays a curre	spond to the collect tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Se
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(Iı

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	Derivative Security			or Disposed of (D) (Instr. 3, 4, and 5)							
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock	\$ 1.74	09/30/2010	09/20/2010	А		34,483		<u>(1)</u>	(2)	Restricted Stock	34,483

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Kasper Michael A 32000 AURORA ROAD SOLON, OH 44139	Х						
Signatures							
Michael A							

 
 Michael A.
 10/08/2010

 Kasper
 10/08/2010

 <u>\*\*</u>Signature of Reporting Person
 Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Twenty-five percent become vested on 09/30/2010, and the remaining 75% become vested in equal quarterly installments over the following 9 months. Shares 100% vested at 06/30/2011.

(2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.