#### **FLOWERS JEFFRY**

Form 4 April 19, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5

obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **FLOWERS JEFFRY** 

> (First) (Middle) (Last)

C/O CARBONITE, INC., 177 **HUNTINGTON AVENUE** 

(Street)

(Ctota)

2. Issuer Name and Ticker or Trading Symbol

Carbonite Inc [CARB]

3. Date of Earliest Transaction (Month/Day/Year) 04/17/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title \_ Other (specify below)

Chief Architect 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### **BOSTON, MA 02115**

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned                           |        |        |                  |                 |  |  |   |  |
|--------------------------------------|---|--|--------|--------|------------------|-----------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed 3. 4. Securities Acquired Execution Date, if any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) |        |        |                  |                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |   |  | Code V | Amount | (A)<br>or<br>(D) | Price           | Transaction(s) (Instr. 3 and 4)  |  |   |  |
| Common<br>Stock                      | 04/17/2012(1)                           |  | S      | 1,753  | D                | \$ 9.306<br>(2) | 873,577  | D  |   |  |
| Common<br>Stock                      | 04/17/2012(1)                           |  | S      | 47     | D                | \$ 9.306<br>(2) | 110,627  | I (3)  | By Trust  |  |
| Common<br>Stock                      | 04/17/2012(1)                           |  | S      | 181    | D                | \$ 9.306<br>(2) | 128,259  | I (4)  | By Trust  |  |
| Common<br>Stock                      | 04/17/2012(1)                           |  | S      | 47     | D                | \$ 9.306<br>(2) | 110,627  | I (5)  | By Trust  |  |
| Common<br>Stock                      | 04/17/2012(1)                           |  | S      | 181    | D                | \$ 9.306<br>(2) | 128,259  | I (6)  | By Trust  |  |

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| Common<br>Stock | 04/17/2012(1)         | S | 64    | D | \$ 9.306<br>(2)            | 45,015  | I (7)        | By Trust  |
|-----------------|-----------------------|---|-------|---|----------------------------|---------|--------------|-----------|
| Common<br>Stock | 04/17/2012(1)         | S | 64    | D | \$ 9.306<br>(2)            | 45,015  | I (8)        | By Trust  |
| Common<br>Stock | 04/18/2012(1)         | S | 1,753 | D | \$<br>9.1619<br><u>(9)</u> | 871,824 | D            |           |
| Common<br>Stock | 04/18/2012(1)         | S | 47    | D | \$<br>9.1619<br><u>(9)</u> | 110,580 | I (3)        | By Trust  |
| Common<br>Stock | 04/18/2012(1)         | S | 181   | D | \$<br>9.1619<br><u>(9)</u> | 128,078 | I (4)        | By Trust  |
| Common<br>Stock | 04/18/2012(1)         | S | 47    | D | \$<br>9.1619<br><u>(9)</u> | 110,580 | I (5)        | By Trust  |
| Common<br>Stock | 04/18/2012(1)         | S | 181   | D | \$<br>9.1619<br><u>(9)</u> | 128,078 | I (6)        | By Trust  |
| Common<br>Stock | 04/18/2012(1)         | S | 64    | D | \$<br>9.1619<br>(9)        | 44,951  | I <u>(7)</u> | By Trust  |
| Common<br>Stock | 04/18/2012 <u>(1)</u> | S | 64    | D | \$<br>9.1619<br>(9)        | 44,951  | I (8)        | By Trust  |
| Common<br>Stock |                       |   |       |   |                            | 28,839  | I (10)       | By Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exercisable and | 7. Title and     | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-------------------------|------------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orNumber   | Expiration Date         | Amount of        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/Year)        | Underlying       | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                       | Securities       | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                         | (Instr. 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                         |                  |             | Follo  |
|             |             |                     |                    |            | (A) or     |                         |                  |             | Repo   |
|             |             |                     |                    |            | Disposed   |                         |                  |             | Trans  |
|             |             |                     |                    |            | of (D)     |                         |                  |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                         |                  |             |        |
|             |             |                     |                    |            | 4, and 5)  |                         |                  |             |        |

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Date Expiration Title Number of Code V (A) (D)

Amount or Number of Shares

# **Reporting Owners**

Reporting Owner Name / Address Relationships

X

Director 10% Owner Officer Other

FLOWERS JEFFRY C/O CARBONITE, INC. 177 HUNTINGTON AVENUE BOSTON, MA 02115

Chief Architect

# **Signatures**

/s/ Robert Katz, by power of attorney

04/19/2012

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 15, 2011.
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$9.23 to \$9.50, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- These shares are owned by the Jeffry Flowers 2009 Grantor Retained Annuity Trust No. 1. The reporting person is trustee of the trust.

  The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.
- These shares are owned by the Jeffry Flowers 2009 Grantor Retained Annuity Trust No. 2. The reporting person is trustee of the trust.

  The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.
- These shares are owned by the Laurie Flowers 2009 Grantor Retained Annuity Trust No. 1. The reporting person's spouse is trustee of the trust. The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.
- These shares are owned by the Laurie Flowers 2009 Grantor Retained Annuity Trust No. 2. The reporting person's spouse is trustee of the trust. The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.
- These shares are owned by the Jeffry Flowers 2010 Grantor Retained Annuity Trust. The reporting person is trustee of the trust. The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.
- These shares are owned by the Laurie Flowers 2010 Grantor Retained Annuity Trust. The reporting person's spouse is trustee of the trust. The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.

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Reporting Owners 3

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The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$9.05 to \$9.25, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(10) These shares are owned by Laurie Flowers. The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.