### Edgar Filing: SURACE RICHARD - Form 4

SURACE RI	CHARD									
Form 4										
November 28	3, 2012									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PPROVAL	
Washington, D.C. 20549								OMB Number:	er: 3235-0287 January 31, 2005 ated average n hours per nse 0.5	
Check this if no long subject to Section 10 Form 4 or Form 5	box <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b>									
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type R	esponses)									
SURACE RICHARD Symbo			•				5. Relationship of Reporting Person(s) to Issuer			
			Carbonite Inc [CARB]				(Check all applicable)			
			e of Earliest Transaction h/Day/Year) /2012				Director 10% Owner X_ Officer (give title Other (specify below) below) SVP, Customer Support			
	ndment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
BOSTON, MA 02115								cporting		
(City)	(State) (Zip	o) Table	e I - Non-D	erivative	Securi	ities Acc	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	a	A. Deemed Execution Date, if ny Month/Day/Year)	n Date, if Transaction(A) or Disposed of Code (D) Day/Year) (Instr. 8) (Instr. 3, 4 and 5)			d of	SecuritiesForm: DirectInBeneficially(D) orBOwnedIndirect (I)O		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	11/28/2012		М	1,500	А	\$ 5.15	1,500	D		
Common Stock	11/28/2012		S	1,500	D	\$ 9.5	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 5.15	11/28/2012		М	1,500	<u>(1)</u>	12/16/2020	Common Stock	1,500	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SURACE RICHARD C/O CARBONITE, INC. 177 HUNTINGTON AVENUE BOSTON, MA 02115			SVP, Customer Support				
Signatures							
/s/ Danielle Sheer, by power of attorney	• •		11/28/2012				
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This option is currently exercisable for 14,800 shares of common stock, after giving effect to the transactions reported on this Form 4.
 (1) This option will become exercisable as to the remaining underlying shares of common stock in 9 equal quarterly installments beginning on December 27, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.