Carbonite Inc Form 4 September 12, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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3235-0287

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Expires: January 31, 2005

**OMB APPROVAL** 

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subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

09/11/2013

(Print or Type Responses)

1 Name and Address of Departing De

1. Name and Address of Reporting Person <u>*</u> KUMARESAN SWAMI			Symbol	· · · ·				5. Relationship of Reporting Person(s) to Issuer		
				Carbonite Inc [CARB]				(Check all applicable)		
	(Last)	(First) (N		of Earliest Tra	ansaction			Director	100	Owner
		ONITE, INC., 17' ON AVENUE	`	Day/Year) 2013				X_ Officer (giv below)		er (specify
		(Street)	4. If Am	endment, Da	te Original			6. Individual or J	oint/Group Filin	g(Check
	BOSTON, M		(7:n)	onth/Day/Year				Person	More than One Re	porting
	(City)	(State)	Tab	le I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4	sposed and 5 (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Common Stock	09/11/2013		Code V M	Amount 12,500	(D)	Price \$ 2.64	75,768	D	

12,500 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(1)}$ 

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SEC 1474

(9-02)

D

\$ 63,268

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.64	09/11/2013		M	12,500	(2)	11/14/2019	Common Stock	12,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
KUMARESAN SWAMI								
C/O CARBONITE, INC.			EVD Now Ventures					
177 HUNTINGTON AVENUE			EVP, New Ventures					

# **Signatures**

BOSTON, MA 02115

/s/ Danielle Sheer, by power of attorney 09/12/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 6, 2013.
- (2) This option is currently exercisable for 17,906 shares of common stock, after giving effect to the transactions reported on this Form 4. This option will become exercisable as to the remaining underlying shares of common stock on November 14, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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