

INVACARE CORP
Form 4
November 19, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MIXON AARON MALACHI III

(Last) (First) (Middle)

ONE INVACARE WAY

(Street)

ELYRIA, OH 44035

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
INVACARE CORP [IVC]

3. Date of Earliest Transaction
(Month/Day/Year)
11/15/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) below)

Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	11/15/2013		F	(A) or (D) Amount 8,486 (1) Price \$ 22.41	334,060	D	
Common Shares					89,744	I	By Spouse (2)
Common Shares					69,446	I	By GRAT (2) (3)
Common Shares					12,289	I	By GRAT (2) (4)
Common Shares					12,288	I	By GRAT (5)

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Common Shares	46,322	I	By GRAT <u>(6)</u>
Common Shares	46,322	I	By GRAT <u>(2)</u> <u>(7)</u>
Common Shares	18,900.69	I	By Invacare Retirement Savings Plan <u>(8)</u>
Class B Common Shares	2,003	D	
Class B Common Shares	330,907	I	By GRAT <u>(9)</u>
Class B Common Shares	261,461	I	By GRAT <u>(2)</u> <u>(3)</u>
Class B Common Shares	26,536	I	By GRAT <u>(10)</u>
Class B Common Shares	83,005	I	By GRAT <u>(2)</u> <u>(11)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Derivative Security (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
						<u>(13)</u>	<u>(13)</u>	Amount or Number of Shares
								954,300

(12)

Employee
Stock
Option
(Right to
Buy)

Common
Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MIXON AARON MALACHI III ONE INVACARE WAY ELYRIA, OH 44035	X		Chairman	

Signatures

/s/ A. Malachi Mixon, by Kristofer K. Spreen, his attorney-in-fact pursuant to Power of Attorney, dated February 12, 2009, on file with the Commission.

11/19/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The surrender of these shares is for tax withholding purposes in conjunction with the vesting of restricted shares held by the reporting person.
 - (2) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
 - (3) Owned by the Trustee for the 2012 Grantor Retained Annuity Trust created by the reporting person's spouse.
 - (4) Owned by the Trustee for the 1997 Grantor Retained Annuity Trust created by the reporting person's spouse.
 - (5) Owned by the Trustee for the 1997 Grantor Retained Annuity Trust created by the reporting person.
 - (6) Owned by the Trustee for the 2009 Grantor Retained Annuity Trust created by the reporting person.
 - (7) Owned by the Trustee for the 2009 Grantor Retained Annuity Trust created by the reporting person's spouse.
 - (8) Owned by the Trustee for the Invacare Retirement Savings Plan. The information given is based on a Plan Statement of December 31, 2012, the most recent information reasonably available.
 - (9) Owned by the Trustee for the 2012 Grantor Retained Annuity Trust created by the reporting person.
 - (10) Owned by the Trustee for the 2011 Grantor Retained Annuity Trust created by the reporting person.
 - (11) Owned by the Trustee for the 2011 Grantor Retained Annuity Trust created by the reporting person's spouse.
 - (12) No transaction is being reported on this line. Reported on a previously filed Form 3, Form 4, or Form 5.
 - (13) The reporting person holds previously reported options to buy 954,300 Common Shares (with tandem tax withholding rights) under the Invacare Corporation 2003 Performance Plan, granted in reliance upon the exemption provided by Rule 16b-3. All options were granted between August 24, 2004 and March 18, 2013, at exercise prices between \$13.37 to \$44.30 per share, will expire between August 24, 2014 and March 18, 2023 and became or will become exercisable between September 30, 2005 and March 31, 2017.
 - (14) The current balance reflects the expiration of 137,900 options on August 20, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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