**NEUSTAR INC** Form 4

December 04, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Berry Alex

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

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3235-0287

January 31,

2005

0.5

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Number:

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response...

**NEUSTAR INC [NSR]** 

(Check all applicable)

(Last) (First) (Middle)

(Street)

21575 RIDGETOP CIRCLE

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify

12/03/2013

X\_ Officer (give title below) below) SVP, Sales

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### STERLING, VA 20166

(City)	(State)	Zip) Table	e I - Non-D	erivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Class A Common Stock	12/03/2013		M	1,731 (1)	A	\$ 22.82	27,170 (2)	D	
Class A Common Stock	12/03/2013		M	1,650 (1)	A	\$ 26.45	28,820 (2)	D	
Class A Common Stock	12/03/2013		S	1,951 (1)	D	\$ 49.03 (3)	26,869 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options	\$ 22.82	12/03/2013		M		1,731 (1)	<u>(4)</u>	09/23/2017	Class A Common Stock	1,731
Employee Stock Options	\$ 26.45	12/03/2013		M		1,650 (1)	<u>(5)</u>	02/22/2018	Class A Common Stock	1,650

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Berry Alex							
21575 RIDGETOP CIRCLE			SVP, Sales				
STERLING VA 20166							

## **Signatures**

/s/ Paul S. Lalljie, by power of attorney 12/04/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 2, 2012.
- (2) Includes shares that are subject to restricted stock agreements under the NeuStar, Inc. 2009 Stock Incentive Plan.
- (3) This transaction was executed in multiple trades at prices ranging from \$49.03 to \$50.03. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of

Reporting Owners 2

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the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (4) 1,731 options are immediately exercisable with the remaining options vesting in monthly installments through February 2014.
- (5) 1,650 options are immediately exercisable with the remaining options vesting in monthly installments through February 2015.

#### **Remarks:**

\*\*\*All of the sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Per Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.