Edgar Filing: Opko Health, Inc. - Form 4

Opko Healt Form 4 April 14, 20)14							0115 4		
FORM	A 4 UNITED STATE	S SECU	DITIES /	A NID EX	СЦ	NCE C	OMMISSION	-	PPROVAL	
Check t	UNITED STATE		shington			ANGE C	01/11/1155101	OMB Number:	3235-0287	
if no lor	nger							Expires:	January 31, 2005	
If no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Section 16. SECURITIES Form 4 or Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								verage		
(Print or Type	Responses)									
	Address of Reporting Person <u>*</u> HILLIP MD ET AL	Symbol	r Name an Iealth, In			ling	5. Relationship of I Issuer	Reporting Pers	son(s) to	
*					-		(Check all applicable)			
			f Earliest T Day/Year) 014	ransactior	1		_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) Defined CEO & Chairman			
MIAMI, FI	(Street) L 33137		endment, D nth/Day/Yea	-	al		6. Individual or Joi Applicable Line) Form filed by Or _X_ Form filed by M Person	ne Reporting Per	rson	
(City)	(State) (Zip)	Tab	le I - Non-l	Derivativ	e Secu	rities Acqu	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any	emed on Date, if /Day/Year)		4. Secur on(A) or D (Instr. 3, Amount	A and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							1,987,500	D		
Common Stock	04/11/2014		Р	200	A	\$ 8.735	139,943,759	Ι	See Footnote	
Common Stock	04/11/2014		Р	200	А	\$ 8.7375	139,943,959	Ι	See Footnote (1)	
Common Stock	04/11/2014		Р	100	А	\$ 8.7399	139,944,059	I	See Footnote	

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Common Stock	04/11/2014	Р	9,500	А	\$ 8.74	139,953,559	Ι	See Footnote
Common Stock	04/11/2014	Р	3,200	A	\$ 8.75	139,956,759	I	See Footnote (1)
Common Stock	04/11/2014	Р	100	А	\$ 8.755	139,956,859	Ι	See Footnote (1)
Common Stock	04/11/2014	Р	8,400	A	\$ 8.76	139,965,259	I	See Footnote (1)
Common Stock	04/11/2014	Р	1,600	A	\$ 8.77	139,966,859	I	See Footnote (1)
Common Stock	04/11/2014	Р	3,000	A	\$ 8.79	139,969,859	I	See Footnote (1)
Common Stock	04/11/2014	Р	3,500	А	\$ 8.8	139,973,359	I	See Footnote
Common Stock	04/11/2014	Р	600	А	\$ 8.81	139,973,959	I	See Footnote
Common Stock	04/11/2014	Р	5,100	А	\$ 8.82	139,979,059	Ι	See Footnote
Common Stock	04/11/2014	Р	2,000	А	\$ 8.83	139,981,059	Ι	See Footnote
Common Stock	04/11/2014	Р	3,500	А	\$ 8.84	139,984,559	Ι	See Footnote (1)
Common Stock	04/11/2014	Р	2,000	А	\$ 8.85	139,986,559	Ι	See Footnote (1)
Common Stock	04/11/2014	Р	100	A	\$ 8.855	139,986,659	Ι	See Footnote (1)
Common Stock	04/11/2014	Р	6,300	A	\$ 8.86	139,992,959	Ι	See Footnote (1)
Common Stock	04/11/2014	Р	100	A	\$ 8.865	139,993,059	Ι	See Footnote

								(1)
Common Stock	04/11/2014	Р	200	А	\$ 8.8675	139,993,259	Ι	See Footnote (1)
Common Stock	04/11/2014	Р	100	А	\$ 8.869	139,993,359	Ι	See Footnote (1)
Common Stock	04/11/2014	Р	4,946	А	\$ 8.87	139,998,305	Ι	See Footnote (1)
Common Stock	04/11/2014	Р	100	А	\$ 8.8775	139,998,405	I	See Footnote (1)
Common Stock	04/11/2014	Р	754	А	\$ 8.88	139,999,159	I	See Footnote (1)
Common Stock	04/11/2014	Р	4,500	А	\$ 8.89	140,003,659	Ι	See Footnote
Common Stock	04/11/2014	Р	3,300	А	\$ 8.91	140,006,959	Ι	See Footnote
Common Stock						15,490,546	I	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
			Code V	of (D) (Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title Amount or		(Instr

Reporting Owners

Reporting Owner Name / Address				
L O	Director	10% Owner	Officer	Other
FROST PHILLIP MD ET AL OPKO HEALTH, INC. 4400 BISCAYNE BLVD. MIAMI, FL 33137	х	Х	CEO & Chairman	
Frost Gamma Investments Trust 4400 BISCAYNE BLVD. MIAMI, FL 33137		Х		
Signatures				
Phillip Frost, M.D., Individually Trustee	and as	04	4/14/2014	
**Signature of Reporting Person				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma Investments Trust. Dr. Frost

(1) partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

These securities are owned directly by The Frost Group, LLC. Frost Gamma Investments Trust is a principal member of The Frost Group, LLC. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and

(2) The reporting person discialing bencheral ownership of these securities, except to the extent of any peculiary interest different and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.