

SCYNEXIS INC
Form 3
May 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Ribeill Yves J

(Last) (First) (Middle)

C/O SCYNEXIS, INC.,Â 3501
C TRICENTER BOULEVARD

(Street)

DURHAM,Â NCÂ 27713

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/02/2014

3. Issuer Name **and** Ticker or Trading Symbol
SCYNEXIS INC [SCYX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner

☒ Officer ☐ Other

(give title below) (specify below)

President and CEO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

16,975

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Common Stock Warrant (right to buy)	01/31/2014	01/30/2019	Common Stock	595	\$ 0.2	D	Â
Series D-2 Preferred Stock	12/11/2013	Â <u>(1)</u>	Common Stock	595 <u>(1)</u>	\$ <u>(1)</u>	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	10/21/2014	Common Stock	7,352	\$ 20.4	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	04/27/2015	Common Stock	7,352	\$ 20.4	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	04/19/2016	Common Stock	931	\$ 20.4	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	04/25/2017	Common Stock	3,676	\$ 20.4	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	04/17/2018	Common Stock	2,941	\$ 20.4	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	04/22/2019	Common Stock	3,676	\$ 25.5	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	07/14/2020	Common Stock	2,941	\$ 25.91	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	04/20/2021	Common Stock	1,960	\$ 30.6	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ribeill Yves J C/O SCYNEXIS, INC., 3501 C TRICENTER BOULEVARD DURHAM,Â NCÂ 27713	Â X	Â	Â President and CEO	Â

Signatures

/s/ Eileen Pruette, by power of attorney 05/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Series D-2 Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-20.4 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.

(2)

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The option vests and becomes exercisable with respect to 25% of the total number of underlying shares on each the first and the second anniversary of the vesting commencement date and with respect to the remaining 50% of the underlying shares on the third anniversary of the vesting commencement date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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