

SCYNEXIS INC
Form 4
May 08, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Arthaud Laurent

(Last) (First) (Middle)

C/O SCYNEXIS, INC., 3501 C
TRICENTER BOULEVARD

(Street)

DURHAM,, NC 27713

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
SCYNEXIS INC [SCYX]

3. Date of Earliest Transaction
(Month/Day/Year)
05/07/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	05/07/2014		X	34 A \$ 0.2	3,772	I	By wife
Common Stock	05/07/2014		X	34 A \$ 0.2	3,806	I	By wife
Common Stock	05/07/2014		X	222 A \$ 0.2	4,028	I	By wife
Common Stock	05/07/2014		C	398 A <u>1</u>	4,426	I	By wife
Common Stock	05/07/2014		C	81 A <u>2</u>	4,507	I	By wife

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Common
Stock

735

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		X	34	12/07/2011 12/06/2016	Common Stock	34
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		X	34	05/15/2012 05/14/2017	Common Stock	34
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		X	222	12/11/2013 12/10/2018	Common Stock	222
Series D-1 Preferred Stock	(2)	05/07/2014		C	8,127	(1) (1)	Common Stock	398 (1)
Series D-2 Preferred Stock	(2)	05/07/2014		C	1,665	(2) (2)	Common Stock	81 (2)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Arthaud Laurent C/O SCYNEXIS, INC., 3501 C TRICENTER BOULEVARD DURHAM,, NC 27713	X			

Signatures

/s/ Eileen Pruette, by power of attorney
Date 05/08/2014

__Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon closing of the Issuer's initial public offering, each share of Series D-1 Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-20.4 basis, and had no expiration date.
- (2) Upon closing of the Issuer's initial public offering, each share of Series D-2 Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-20.4 basis, and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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