Habit Restaurants, Inc. Form 3 November 20, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Fils Ira

> (Last) (First)

> > (Street)

(Middle)

Statement

(Month/Day/Year)

11/19/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Habit Restaurants, Inc. [HABT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

10% Owner _X_ Director _X_ Officer Other (give title below) (specify below) Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

RANCHO SANTA MARGARITA, CAÂ 92688

(State)

48 LEDGEWOOD DRIVE

1. Title of Security (Instr. 4)

(City)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

Security

5. Ownership Form of Derivative Security: Direct (D)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Exercisable Date

Title

Amount or Number of Shares

or Indirect (I) (Instr. 5)

1

Common LLC units and Class B common stock

(1)

(2)

Class A Common 347,742 Stock

\$0

 $D\,\underline{^{(1)}}\qquad \hat{A}$

Reporting Owners

Reporting Owner Name / Address

RANCHO SANTA MARGARITA, Â CAÂ 92688

Relationships

Director 10% Owner Officer

Other

Fils Ira

48 LEDGEWOOD DRIVE

ÂΧ

Â

Chief Financial Officer Â

Signatures

By: /s/ Ira Fils

11/19/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

holder to any of the economic rights associated with shares of the issuer's Class A common stock.

- Pursuant to the terms of the Amended & Restated LLC Agreement of The Habit Restaurants, LLC, Mr. Fils may exchange all or a portion of his vested common units (along with an equal number of his Class B Shares) at any time for shares of Class A common stock of the Issuer (or, at the Issuer's option, cash) on a one-for-one basis.
- The common units do not expire and the reporting person is not required to pay an exercise price in connection with exchanges. The Class (2) B common stock provides the holder with one vote on all matters submitted to a vote of the issuer's stockholders but does not entitle the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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