On Deck Capital Inc Form 4 December 22, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

Expires:

January 31, 2005

0.5

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Hartwig David

2. Issuer Name and Ticker or Trading Symbol

On Deck Capital Inc [ONDK]

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

X\_ Director 10% Owner Officer (give title Other (specify

(Check all applicable)

C/O SAPPHIRE VENTURES, 3408

(Street)

HILLVIEW AVENUE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

12/22/2014

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

PALO ALTO, CA 94304

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Sec	urities A	Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Approximately Amount	of (D) d 5)  (A) or (D) I	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/22/2014		C	5,686,354	A (	(1) (2) (3) (4)	5,686,354	I	See footnote (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of ctionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series C Preferred Stock	<u>(1)</u>	12/22/2014		C		2,619,048	<u>(1)</u>	<u>(1)</u>	Common Stock	2,619,04
Series C-1 Preferred Stock	(2)	12/22/2014		С		1,447,756	(2)	(2)	Common Stock	1,447,75
Series D Preferred Stock	<u>(3)</u>	12/22/2014		C		1,211,664	<u>(3)</u>	<u>(3)</u>	Common Stock	1,211,66
Series E Preferred Stock	<u>(4)</u>	12/22/2014		C		407,886	<u>(4)</u>	<u>(4)</u>	Common Stock	407,886

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Toporting of the France of France of	Director	10% Owner	Officer	Other			
Hartwig David							
C/O SAPPHIRE VENTURES	X						
3408 HILLVIEW AVENUE	Λ						
PALO ALTO, CA 94304							

## **Signatures**

/s/ Robert A. Zuccaro, by power of attorney

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series C Preferred Stock automatically converted into Common Stock on a one for one basis immediately prior to the completion of the Issuer's initial public offering of common stock and had no expiration date.
- (2) The Series C-1 Preferred Stock automatically converted into Common Stock on a one for one basis immediately prior to the completion of the Issuer's initial public offering of common stock and had no expiration date.
- (3) The Series D Preferred Stock automatically converted into Common Stock on a one for one basis immediately prior to the completion of the Issuer's initial public offering of common stock and had no expiration date.

**(4)** 

Reporting Owners 2

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The Series E Preferred Stock automatically converted into Common Stock on a one for one basis immediately prior to the completion of the Issuer's initial public offering of common stock and had no expiration date.

The reported shares are held of record by SAP Ventures Fund I Holdings, LLC ("SAP Holdings"). Sapphire Ventures Fund I, L.P. ("Sapphire Ventures LP") is the sole member of SAP Holdings and SAP Ventures (GPE) I, L.L.C. ("SAP GPE") is the general partner of Sapphire Ventures LP. As a managing member of SAP GPE, the Reporting Person shares voting and dispositive power with respect to the shares held by SAP Holdings. The Reporting Person disclaims beneficial ownership of the securities reported herein, except to the extent

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of his pecuniary interest therein.