Habit Restaurants, Inc. Form 4 April 22, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5

SECURITIES

Filed pursuant to Section 16(a) of the Securities Evchange Act of 1034

Form 5 obligations may continue. See Instruction See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Friend Russell Issuer Symbol Habit Restaurants, Inc. [HABT] (Check all applicable) (Middle) (Last) (First) 3. Date of Earliest Transaction 10% Owner (Month/Day/Year) Director X\_ Officer (give title Other (specify C/O THE HABIT RESTAURANTS, 04/20/2015 below) below) INC., 17320 RED HILL AVENUE, Chief Development Officer SUITE 140 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **IRVINE**, CA 92614

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

(A) Reported
Transaction(s)
or (Instr. 3 and 4)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Code V Amount (D) Price

Class A

(City)

(State)

(Zip)

Common 04/20/2015  $A_{\underline{(1)}}$  1,547 A \$ 0 1,547 D

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Habit Restaurants, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	SS) AS (A	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Nonstatutory Stock Option (right to buy)	\$ 32.32	04/20/2015		A	4	4,804		(2)	04/20/2025	Class A Common Stock	4,804

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Friend Russell C/O THE HABIT RESTAURANTS, INC. 17320 RED HILL AVENUE, SUITE 140 IRVINE, CA 92614

Chief Development Officer

#### **Signatures**

/s/ Ira Fils,

Attorney-in-Fact 04/22/2015

\*\*Signature of Reporting Date
Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of restricted stock granted to Mr. Friend under the Company's 2014 Omnibus Incentive Plan. The awards vest in equal (1) installments over five years, with one-fifth of the total award vesting on the first, second, third, fourth and fifth anniversary of the grant date.
- The Nonstatutory Stock Option is exercisable in the following cumulative installments prior to the Expiration Date: 960 shares on or after (2) April 20, 2016; an additional 961 shares on or after April 20, 2018; an additional 961 shares on or after April 20, 2019; and an additional 961 shares on or after April 20, 2019; and an additional 961 shares on or after April 20, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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