Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental E Form 4 May 13, 2015 FORM 4		S SECURITIES A	AND EXCHA	NGE C	OMMISSION	OMB AP OMB	PROVAL		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040					Number: Expires: Estimated av burden hour response	•		
(Print or Type Respor	nses)								
1. Name and Address Goone David S	ss of Reporting Person <u>*</u>	Symbol	ssuer Name and Ticker or Trading ool rcontinental Exchange, Inc. [ICE]			5. Relationship of Reporting Person(s) to Issuer			
(Last) ((First) (Middle)	3. Date of Earliest T	C ·		(Check	all applicable))		
5660 NEW NOR	(Month/Day/Year) 05/11/2015			Director 10% Owner X Officer (give title Other (specify below) below) Chief Strategic Officer					
(;	4. If Amendment, D Filed(Month/Day/Yea	-		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
ATLANTA, GA	. 30328				Person	ore than one ree	Jorning		
(City) (A	(State) (Zip)	Table I - Non-l	Derivative Secur	ities Acqu	ired, Disposed of,	or Beneficiall	y Owned		
	ransaction Date 2A. Deer nth/Day/Year) Execution any (Month/I	n Date, if Transactio Code Day/Year) (Instr. 8)	4. Securities Acor(A) or Disposed (Instr. 3, 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common 05/1 Stock	11/2015	Code V M	Amount (D) $226 (1)$ A	Price \$ 112.48	31,675	D			
Common 05/1 Stock	11/2015	S	1,833 D	\$ 240	29,842 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number or of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date ivative (Month/Day/Year) urities urities or posed D) tr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pı Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Empoyee Stock Option (right to buy) Holding	\$ 112.48	05/11/2015		М	226	(3)	01/11/2021	Common Stock	226	¢ ,

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Goone David S 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328			Chief Strategic Officer			
Signatures						
/s/ Andrew J. Surdykowski, Attorney-in-fact	05/13/2015					
<u>**</u> Signature of Reporting Person		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.

The common stock number referred in Table I is an aggregate number and represents 15,793 shares of common stock, 7,388 unvested restricted stock units and 6,661 unvested performance based restricted stock units, for which the performance period has been satisfied. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock

- (2) Interperformance based restricted stock units vest over a time year period, in which 55.55% of the performance based restricted stock units and the corresponding number of shares of common stock to be issued pursuant to that award will not be determined until February 2016 and will be reported at that time.
- (3) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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