New Residential Investment Corp.

Form 4 June 15, 2015

# FORM 4

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

3235-0287 Number:

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

EDENS WESLEY R

1. Name and Address of Reporting Person \*

|  |   |          | New Residential Investment Corp. [NRZ]   |  |  |                  |     | p.                              | (Check all applicable)   |  |   |  |  |
|--|---|----------|--|--|--|------------------|-----|---------------------------------|--|--|---|--|--|
| (Last)   | (First)   | (Middle) | (Month/Day/Year)   |  |  |                  |     | _X Director<br>Officer (give ti | tle Othe   | Owner<br>r (specify                                      |   |  |  |
| 1345 AVENUE OF THE AMERICAS                          |   |          | 06/15/2015   |  |  |                  |     | ŀ                               | below) below)  |  |   |  |  |
|  | (Street)  |          | Filed(Month/Day/Year)  |  |  |                  |     | A                               | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |   |  |  |
| NEW YORK, NY 10105                                   |   |          |  | _  |  |                  |     |                                 | Form filed by More than One Reporting  |  |   |  |  |
| (City)   | (State)   | (Zip)    | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |                  |     |                                 |  |  |   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                 | ecurity (Month/Day/Year) Execution (Instr. 3) any |          |  | med 3. 4. Securities Acquired (A) on Date, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5)  Day/Year) (Instr. 8)  (A) or |  |                  |     |                                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 06/15/2015  |          |  | Code V   |  | Amount 3,245,764 | (D) | Price<br>\$<br>15.88            | 518,545  | I  | See Footnote  |  |  |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share |   |          |  |  |  |                  |     |                                 | 1,091,607  | D  |   |  |  |

#### Edgar Filing: New Residential Investment Corp. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|---|---|---|---|---|---|-----|--|--------------------|---|----------------------------|
|   |   |   |   | Code V                                  | (A)   | (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>Number<br>Shares |
| Stock<br>Option<br>(right to<br>buy)                | \$ 15.88  | 06/15/2015                              |   | A                                       | 2,793,538   |     | (2)  | 06/15/2025         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share          | 2,793,                     |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |

EDENS WESLEY R 1345 AVENUE OF THE AMERICAS X NEW YORK, NY 10105

## **Signatures**

/s/ Cameron MacDougall, as attorney-in-fact

06/15/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - These securities were sold and/or held directly or indirectly by Fortress Operating Entity I LP ("FOE I"). Wesley R. Edens does not personally own these securities. However, by virtue of his relationship to FOE I as described below, Mr. Edens may be deemed to be a
- (1) beneficial owner of these securities. Mr. Edens disclaims such beneficial ownership except to the extent of his pecuniary interest in these securities. FIG Corp. is the general partner of FOE I. FIG Corp. is a wholly-owned subsidiary of Fortress Investment Group LLC. Mr. Edens is a principal and co-chairman of the board of directors of, and a shareholder in, Fortress Investment Group LLC.
- Options were fully vested on date of initial grant from the issuer to FOE I and became exercisable in thirty equal monthly installments beginning on the first of each month following the month in which the options were granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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