

RAMBUS INC  
Form 4  
August 17, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Kim Jae

(Last) (First) (Middle)  
1050 ENTERPRISE WAY, SUITE 700  
(Street)

SUNNYVALE, CA 94089

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
RAMBUS INC [RMBS]

3. Date of Earliest Transaction (Month/Day/Year)  
08/17/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
SVP & GC

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Common Stock                    | 08/17/2015                           |  | M                              |   | 3,207   | A  | \$ 7.31   |
| Common Stock                    | 08/17/2015                           |  | M                              |   | 3,738   | A  | \$ 5.46   |
| Common Stock                    | 08/17/2015                           |  | M                              |   | 2,242   | A  | \$ 5.46   |
| Common Stock                    | 08/17/2015                           |  | M                              |   | 5,800   | A  | \$ 5.63   |
| Common Stock                    | 08/17/2015                           |  | M                              |   | 2,641   | A  | \$ 5.63   |
|                                 |                                      |  |                                |   |   |  | 25,221  |
|                                 |                                      |  |                                |   |   |  | 28,959  |
|                                 |                                      |  |                                |   |   |  | 31,201  |
|                                 |                                      |  |                                |   |   |  | 37,001  |
|                                 |                                      |  |                                |   |   |  | 39,642  |

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|              |            |                         |        |   |  |        |   |
|--------------|------------|-------------------------|--------|---|--|--------|---|
| Common Stock | 08/17/2015 | M                       | 3,836  | A | \$ 8.76                                | 43,478 | D |
| Common Stock | 08/17/2015 | <u>S</u> <sup>(1)</sup> | 22,982 | D | \$<br><u>13.4989</u><br><sup>(2)</sup> | 20,496 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|-------------------------------|
| Employee Stock Option (right to buy)       | \$ 7.31  | 08/17/2015                           |  | M                              | 3,207  | <u>(3)</u> 02/01/2022                                    | Common Stock  | 5,981                         |
| Employee Stock Option (right to buy)       | \$ 5.46  | 08/17/2015                           |  | M                              | 3,738  | <u>(4)</u> 02/01/2023                                    | Common Stock  | 14,789                        |
| Employee Stock Option (right to buy)       | \$ 5.46  | 08/17/2015                           |  | M                              | 2,242  | <u>(4)</u> 02/01/2023                                    | Common Stock  | 8,875                         |
| Employee Stock Option (right to buy)       | \$ 5.63  | 08/17/2015                           |  | M                              | 5,800  | <u>(5)</u> 08/02/2020                                    | Common Stock  | 6,945                         |
|  | \$ 5.63  | 08/17/2015                           |  | M                              | 2,641  | <u>(5)</u> 02/01/2021                                    |   | 3,163                         |

|  |         |            |   |       |     |            |                 |                 |  |
|--|---------|------------|---|-------|-----|------------|-----------------|-----------------|--|
| Employee<br>Stock<br>Option<br>(right to<br>buy) |         |            |   |       |     |            |                 | Common<br>Stock |  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 8.76 | 08/17/2015 | M | 3,836 | (6) | 02/03/2024 | Common<br>Stock | 35,299          |  |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |          |       |
|--|---------------|-----------|----------|-------|
|  | Director      | 10% Owner | Officer  | Other |
| Kim Jae<br>1050 ENTERPRISE WAY, SUITE 700<br>SUNNYVALE, CA 94089 |               |           | SVP & GC |       |

## Signatures

/s/ William Krause, by power of  
attorney

08/17/2015

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 are pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 6, 2015.  
The sale price reported in Column 4 of Table 1 represents the weighted average sale price of the shares sold ranging from \$13.24 to \$13.80. Upon request by the Commission Staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price.
- (2) Ten percent of the shares subject to the option vested on August 1, 2012 and the remaining shares vested monthly thereafter until fully vested on February 1, 2016.
- (3) Ten percent of the shares subject to the option vested on August 1, 2013 and the remaining shares vested monthly thereafter until fully vested on February 1, 2017.
- (4) One-third of the shares subject to the option vested on June 22, 2013 and the remaining shares vested monthly thereafter until fully vested on June 22, 2015.
- (5) Ten percent of the shares subject to the option vested on August 3, 2014 and the remaining shares vested monthly thereafter until fully vested on February 3, 2018.
- (6)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.