CSX CORP Form 3 September 18, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CSX CORP [CSX] A Lonegro Frank A (Month/Day/Year) 09/08/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **500 WATER STREET** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person (give title below) (specify below) JACKSONVILLE, Â FLÂ 32202 Form filed by More than One **EVP & CFO** Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 58,607 Common Stock 4.789 I CSX Corporation 401(k) Plan (1) Common Stock 150 Ι General Partnership (2) **Executive Deferred** Common Stock 1,719 I Compensation Plan (3) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

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| 1. Title of Derivative Security (Instr. 4)  2. Date Exercisa Expiration Date (Month/Day/Year) |                     | ate                | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion or Exercise Price of | 5.<br>Ownership<br>Form of<br>Derivative        | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|---|---------------------|--------------------|--|----------------------------------|------------------------------------|---|---|
|   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares | Security Direct ( or Indir (I)     | Security: Direct (D) or Indirect (I) (Instr. 5) |   |
| Phantom Stock   | (4)                 | (4)                | Common<br>Stock  | 117                              | \$ <u>(4)</u>                      | I   | Executive Deferred<br>Compensation Plan                     |

### **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |           |           |       |  |  |
|---|---------------|-----------|-----------|-------|--|--|
|   | Director      | 10% Owner | Officer   | Other |  |  |
| Lonegro Frank A<br>500 WATER STREET<br>JACKSONVILLE, FL 32202 | Â             | Â         | EVP & CFO | Â     |  |  |

## **Signatures**

By: Mark D. Austin, Attorney-in-Fact 09/18/2015

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) By Trustee, CSX Corporation Savings Thrift Plan. Reflects equivalent shares of cash value held in CSX Stock Fund, which amounts will fluctuate dependent upon daily net asset value of the fund.
- (2) A general partnership of which the Reporting Person holds a 25% interest.
- (3) By Trustee, CSX Corporation Executive Deferred Compensation Plan.
- (4) Each unit of phantom stock is the economic equivalent of one share of Company common stock. Units of phantom stock become payable, in cash, consistent with the Reporting Person's distribution election made at the time of deferral.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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