

BROWN FORMAN CORP

Form 4

September 18, 2015

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Frazier Laura

2. Issuer Name **and** Ticker or Trading  
Symbol  
BROWN FORMAN CORP [BFA,  
BFB]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/20/2015

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

LOUISVILLE, KY 40210

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common	07/20/2015		W <sup>(1)</sup>	V	1,277,720	A	\$ 0	9,104,153	I	Avish Agincourt LLC
Class A Common	07/20/2015		W <sup>(1)</sup>	V	2,555,440	D	\$ 0	9,104,153	I	Avish Agincourt LLC
Class B Common	07/20/2015		W <sup>(1)</sup>	V	579,690	A	\$ 0	4,130,474	I	Avish Agincourt LLC
Class B Common	07/20/2015		W <sup>(1)</sup>	V	1,159,381	D	\$ 0	4,130,474	I	Avish Agincourt

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									LLC
Class A Common	09/16/2015	G	V	651,681	A	<u>2</u>	9,104,153	I	Avish Agincourt LLC
Class A Common	09/16/2015	G	V	651,681	D	<u>2</u>	9,104,153	I	Avish Agincourt LLC
Class B Common	09/16/2015	G	V	295,662	A	<u>2</u>	4,130,474	I	Avish Agincourt LLC
Class B Common	09/16/2015	G	V	295,662	D	<u>2</u>	4,130,474	I	Avish Agincourt LLC
Class A Common							207,313	D	
Class B Common							223,213	D	
Class A Common							4,860	I	Annsley Frazier Thornton Trust
Class A Common							354	I	Brooke Huneke Trust
Class B Common							1,702	I	Brooke Huneke Trust
Class A Common							5,922	I	Brooke Huneke UTMA
Class B Common							5,155	I	Brooke Huneke UTMA
Class A Common							354	I	Chase Huneke Trust
Class B Common							1,702	I	Chase Huneke Trust
Class A Common							354	I	Cordt Huneke Trust
							1,290	I	

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Class B Common				Cordt Huneke Trust
Class A Common	354	I		Kelli Huneke Trust
Class B Common	1,702	I		Kelli Huneke Trust
Class A Common	5,922	I		Kelli Huneke UTMA
Class B Common	5,065	I		Kelli Huneke UTMA
Class B Common	4,486	I		OBF Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

Frazier Laura  
850 DIXIE HIGHWAY  
LOUISVILLE, KY 40210

X

## Signatures

Kelly Bowen, Attorney in Fact for Laura  
Frazier

09/18/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the division of a family trust holding units of Avish Agincourt LLC following the death of the settlor.  
On September 16, 2015, the reporting person transferred units of Avish Agincourt LLC to a grantor trust for the benefit of family members in which the reporting person retains a pecuniary interest. The reporting person received a note under the terms of the trust providing for a periodic payment similar in operation to a grantor retained annuity trust.
- (2)

### Remarks:

The reporting person disclaims beneficial ownership of shares held by trusts and entities set forth in this report except to the extent

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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