Bank of New York Mellon Corp Form 4

October 28, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Print or Type Responses)

Stock

Common

Common

(11mt of 1)pc	responses						
1. Name and Address of Reporting Person * HASSELL GERALD L			ssuer Name <b>and</b> Ticke ool k of New York Me ]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
	IK OF NEW YOR CORPORATION	(Mor RK 10/2	nte of Earliest Transact hth/Day/Year) 16/2015	X Director 10% OwnerX Officer (give title Other (specify below)			
			Amendment, Date Ori (Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
NEW TORK, NT 10280			Person				
(City)	(State)	(Zip)	Гable I - Non-Deriva	ive Securities Acq	uired, Disposed of, o	r Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Yea	f Transactionor Disp Code (Instr.	(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/22/2015		G V 2,550	D \$0	795,835.8606	D	
Common Stock	10/26/2015		S 30,00	D \$ 41.9117	24,273.5238	I	By 401(k)

42,140

42,140

Ι

Ι

Plan By

Family

Trust

By

Stock Family
Trust #2

Common Stock 56,604 I By Wife  $\underline{\underline{(1)}}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. Solumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

### **Reporting Owners**

Reporting Owner Name / Address		Relationships					
,	Director	10% Owner	Officer	Other			
HASSELL GERALD L							
THE BANK OF NEW YORK MELLON CORPORATION 225 LIBERTY STREET	X		Chairman & CEO				
NEW YORK NY 10286							

#### **Signatures**

/s/ Craig T. Beazer,
Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) I disclaim beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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