Steris plc Form 4 November 02, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * LEWIS DAVID B

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Officer (give title

Issuer

below)

(Middle)

(Zip)

3. Date of Earliest Transaction

X_ Director 10% Owner

(Check all applicable)

Other (specify

Indirect

Beneficial

Ownership

(Instr. 4)

(Month/Day/Year) 11/02/2015

Steris plc [STE]

C/O CHANCERY HOUSE, 190 WATERSIDE ROAD, HAMILTON

(Street)

(State)

(First)

INDUSTRIAL PARK

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

LEICESTER, X0 LE5 1QZ

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

6. Ownership 7. Nature of 5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) **Following** (Instr. 4)

Reported

(A) Transaction(s) (Instr. 3 and 4)

Price Code V Amount (D)

Ordinary

Shares, 20.10

11/02/2015

Α 6,684 A (1) 6,684

or

D

Nominal Value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

1

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 32.34	11/02/2015		A	3,133	(2)	08/04/2020	Ordinary Shares, ?0.10 Nominal Value	3,133
Director Stock Option (right to buy)	\$ 31.61	11/02/2015		A	3,121	(3)	08/03/2021	Ordinary Shares, ?0.10 Nominal Value	3,121
Director Stock Option (right to buy)	\$ 32.36	11/02/2015		A	3,218	<u>(4)</u>	08/03/2022	Ordinary Shares, ?0.10 Nominal Value	3,218
Career Restricted Stock Units	(5)	11/02/2015		A	8,675	<u>(5)</u>	<u>(5)</u>	Ordinary Shares, ?0.10 Nominal Value	8,675

Reporting Owners

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
LEWIS DAVID B					
C/O CHANCERY HOUSE, 190 WATERSIDE ROAD	X				
HAMILTON INDUSTRIAL PARK	Λ				
LEICESTER, X0 LE5 1OZ					

Reporting Owners 2

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Signatures

/s/ Dennis P. Patton, Authorized Representative under Power of Attorney

11/02/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Represents ordinary shares of STERIS plc ("New STERIS") acquired pursuant to merger of a wholly-owned subsidiary of New STERIS with and into STERIS Corporation ("STERIS"), with STERIS surviving the merger as a wholly-owned subsidiary of New STERIS (the
- (1) "Merger"), in exchange for common shares of STERIS, which was consummated simultaneous with and conditioned on New STERIS' acquisition of Synergy Health plc by commencing a "recommended offer" under English law. At the effective time of the Merger, each STERIS common share was cancelled and converted into the right to receive one New STERIS ordinary share.
- This option to purchase 3,133 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 3,133 STERIS common shares for \$32.34 per share, subject to the same terms and conditions as the original STERIS stock option.
- This option to purchase 3,121 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 3,121 STERIS common shares for \$31.61 per share, subject to the same terms and conditions as the original STERIS stock option.
- This option to purchase 3,218 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option (4) to purchase 3,218 STERIS common shares for \$32.36 per share, subject to the same terms and conditions as the original STERIS stock option.
- At the effective time of the Merger, each STERIS career restricted stock unit was cancelled and converted to a New STERIS career restricted stock unit, subject to the same terms and conditions that were applicable to the original STERIS career restricted stock unit.

 These New STERIS career restricted stock units are fully vested and will be settled in New STERIS ordinary shares six months after the cessation of the Director's Board service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3