Steris plc Form 4 November 02, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

3235-0287 Number:

January 31, Expires: 2005 Estimated average

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MCMULLEN KEVIN M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 11/02/2015

Steris plc [STE]

X_ Director 10% Owner Officer (give title Other (specify below)

(Check all applicable)

C/O CHANCERY HOUSE, 190 WATERSIDE ROAD, HAMILTON INDUSTRIAL PARK

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

Α

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

LEICESTER, X0 LE5 1QZ

1. Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Month/Day/Year)

(Zip)

4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially (D) or Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Ordinary

(City)

Shares, 20.10

Nominal Value

11/02/2015

Price Code V Amount (D)

34,338

(A)

or

Α

(1)

34,338

Transaction(s)

(Instr. 3 and 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 27.68	11/02/2015		A	3,387	(2)	07/27/2017	Ordinary Shares, ?0.10 Nominal Value	3,387
Director Stock Option (right to buy)	\$ 34.17	11/02/2015		A	2,744	(3)	07/31/2018	Ordinary Shares, ?0.10 Nominal Value	2,744
Director Stock Option (right to buy)	\$ 28.08	11/02/2015		A	3,609	<u>(4)</u>	07/31/2019	Ordinary Shares, ?0.10 Nominal Value	3,609
Director Stock Option (right to buy)	\$ 32.34	11/02/2015		A	3,133	<u>(5)</u>	08/04/2020	Ordinary Shares, ?0.10 Nominal Value	3,133
Director Stock Option (right to buy)	\$ 31.61	11/02/2015		A	3,121	<u>(6)</u>	08/03/2021	Ordinary Shares, ?0.10 Nominal Value	3,121
Director Stock Option (right to buy)	\$ 32.36	11/02/2015		A	3,218	<u>(7)</u>	08/03/2022	Ordinary Shares, ?0.10 Nominal Value	3,218

8. F Der Sec (Ins

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MCMULLEN KEVIN M C/O CHANCERY HOUSE, 190 WATERSIDE ROAD HAMILTON INDUSTRIAL PARK LEICESTER, X0 LE5 1QZ



Signatures

/s/ Dennis P. Patton, Authorized Representative under Power of Attorney

11/02/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Represents ordinary shares of STERIS plc ("New STERIS") acquired pursuant to merger of a wholly-owned subsidiary of New STERIS with and into STERIS Corporation ("STERIS"), with STERIS surviving the merger as a wholly-owned subsidiary of New STERIS (the
- (1) "Merger"), in exchange for common shares of STERIS, which was consummated simultaneous with and conditioned on New STERIS' acquisition of Synergy Health plc by commencing a "recommended offer" under English law. At the effective time of the Merger, each STERIS common share was cancelled and converted into the right to receive one New STERIS ordinary share.
- This option to purchase 3,387 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 3,387 STERIS common shares for \$27.68 per share, subject to the same terms and conditions as the original STERIS stock option.
- This option to purchase 2,744 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 2,744 STERIS common shares for \$34.17 per share, subject to the same terms and conditions as the original STERIS stock option.
- This option to purchase 3,609 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 3,609 STERIS common shares for \$28.08 per share, subject to the same terms and conditions as the original STERIS stock option.
- This option to purchase 3,133 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 3,133 STERIS common shares for \$32.34 per share, subject to the same terms and conditions as the original STERIS stock option
- This option to purchase 3,121 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 3,121 STERIS common shares for \$31.61 per share, subject to the same terms and conditions as the original STERIS stock option.
- This option to purchase 3,218 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 3,218 STERIS common shares for \$32.36 per share, subject to the same terms and conditions as the original STERIS stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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