

CENTRAL GARDEN & PET CO

Form 4

December 07, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BALOUSEK JOHN

2. Issuer Name and Ticker or Trading Symbol  
CENTRAL GARDEN & PET CO  
[CENT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1340 TREAT BLVD., STE. 600  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/04/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)

WALNUT CREEK, CA 94597

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	12/04/2015		M		22,397	A	\$ 8.93 49,711
Class A Common Stock	12/04/2015		F(1)		12,780	D	\$ 15.65 36,931
Class A Common Stock	12/04/2015		M		18,493	A	\$ 7.21 55,424
Class A Common	12/04/2015		F(1)		8,520	D	\$ 15.65 46,904

Edgar Filing: CENTRAL GARDEN & PET CO - Form 4

Stock

Class A  
Common Stock 12/04/2015 M 6,761 A \$ 9.86 53,665 D

Class A  
Common Stock 12/04/2015 F<sup>(1)</sup> 4,260 D \$ 15.65 49,405 D

Common Stock 55,160 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.93	12/04/2015		M	22,397	<u>(2)</u> 08/11/2016	Class A Common Stock	22,397
Stock Option (Right to Buy)	\$ 7.21	12/04/2015		M	18,493	<u>(3)</u> 08/10/2017	Class A Common Stock	18,493
Stock Option (Right to Buy)	\$ 9.86	12/04/2015		M	6,761	<u>(4)</u> 08/10/2018	Class A Common Stock	6,761

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Director 10% Owner Officer Other

BALOUSEK JOHN  
1340 TREAT BLVD., STE. 600 X  
WALNUT CREEK, CA 94597

## Signatures

/s/ John  
Balousek 12/07/2015

\*\*Signature of  
Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by the Issuer in payment of the aggregate option exercise price incurred upon the above-reported exercise of options. The amount of shares withheld is based on the respective average of the high and low sales prices on the date of exercise.
- (2) One-third of the total options granted became exercisable at each of 6 months, 18 months and 30 months after February 11, 2013 the date of the grant.
- (3) One-third of the total options granted became exercisable at each of 6 months, 18 months and 30 months after February 10, 2014 the date of the grant.
- (4) One-third of the total options granted became exercisable at each of 6 months, 18 months and 30 months after February 10, 2015 the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.