## Edgar Filing: BROOKS AUTOMATION INC - Form 4

BROOKS A Form 4 March 25, 2	AUTOMATION I	NC	-							
FORM	ЛЛ								PPROVA	L
-	STATES	SECURITIES AND EXCHANGE ( Washington, D.C. 20549				COMMISSIO	N OMB Number:	3235-	0287	
Check th if no lon	gar						Expires:	Januar	y 31, 2005	
subject t Section Form 4 d	16. <b>SIAIE</b> N	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								0.5
Form 5 obligation may con <i>See</i> Instru- 1(b).	ons Section 17(									
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> POND KIRK P			2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
		BROOKS AUTOMATION INC [BRKS]				(Check all applicable)				
(Last)	(First) (	Middle)	3. Date of Earliest Transaction				_X_ Director 10% Owner			
C/O BROOKS AUTOMATION, INC., 15 ELIZABETH DRIVE			(Month/Day/Year) 03/24/2016			Officer (giv below)	ve title Oth below)	ner (specify		
	(Street)	(Street) 4. If Amendment, Date Orig Filed(Month/Day/Year)			-	briginal       6. Individual or Joint/Group Filing(Cheat         Applicable Line)       _X_ Form filed by One Reporting Person				
CHELMSF	ORD, MA 01824							More than One R		
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	d
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)	Disposed (Instr. 3,	(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	ıl
				Code V						
Reminder: Rep	port on a separate line	e for each cla	ass of sec	urities benef	•	•	•			
					inforr requi	nation con red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not orm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onof	Expiration Date	Underlying Securities	Derivat

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Derivative (Month/Day/Year Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Year)	(Instr. 3 and 4)		Securit (Instr. :	
				Code V	(A) (	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0 <u>(1)</u>	03/24/2016		A <u>(2)</u>	338		(1)	(1)	Common Stock	338	\$ 0 <u>9</u>

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
1. 9.	Director	10% Owner	Officer	Other			
POND KIRK P C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MA 01824	Х						
Signatures							
/s/ Jason W. Joseph, attorney-in-fact for Pond	0.	3/25/201	6				
**Signature of Reporting Person	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each Restricted Stock Unit represents the right to receive one share of Brooks common stock. The units are fully vested upon grant but(1) the Reporting Person elected to have the settlement deferred until the later of his attainment of age 65 or his separation from service from the company.

Grant of Restricted Stock Units (RSUs) based on the conversion of accumulated cash dividend equivalents on previously granted RSUs
 (2) determined using the closing price of the Company's common stock on the dividend record date of March 4, 2016. Grant of Restricted Stock Units under the company's 2015 Equity Incentive Plan. No amount was paid upon grant of the units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.