Opko Health, Inc. Form 4 April 18, 2016

# FORM 4

## **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

January 31, Expires: 2005

Issuer

Estimated average burden hours per response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

Opko Health, Inc. [OPK]

3. Date of Earliest Transaction

Symbol

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Middle)

FROST PHILLIP MD ET AL

OPKO HEA		(Month/Day/Year) 04/15/2016				_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below)  CEO & Chairman				
(Street) 4. If Ame			endment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mo			onth/Day/Year)				Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person			
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Ac					rities Acq	quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	aı	A. Deemed Execution Date, if any Month/Day/Year)	3. Transaction Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							3,068,951	D		
Common Stock	04/15/2016		P	2,000	A	\$ 10.64	159,474,843	I	See Footnote (1)	
Common Stock	04/15/2016		P	1,800	A	\$ 10.67	159,476,643	I	See Footnote (1)	
Common Stock	04/15/2016		P	2,000	A	\$ 10.82	159,478,643	I	See Footnote (1)	

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Common Stock	04/15/2016	P	1,800	A	\$ 11	159,480,443	I	See Footnote (1)
Common Stock						20,091,062	I	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 3	5. ctionNumber of Securit Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	(Month/Day. tive ies ed ed	ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)
			Code	V (A) (I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
FROST PHILLIP MD ET AL OPKO HEALTH, INC. 4400 BISCAYNE BLVD. MIAMI, FL 33137	X	X	CEO & Chairman				
Frost Gamma Investments Trust 4400 BISCAYNE BLVD. MIAMI, FL 33137		X					
Signatures							

Phillip Frost, M.D., Individually and as Trustee	04/18/2016	
**Signature of Reporting Person	Date	

Reporting Owners 2 Edgar Filing: Opko Health, Inc. - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general

- partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- These securities are owned directly by The Frost Group, LLC. Frost Gamma Investments Trust is a principal member of The Frost Group, LLC. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.