#### NEUSTAR INC Form 3 June 27, 2016 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 **OMB APPROVAL** Washington, D.C. 20549 OMB 3235-0104 Number: January 31, **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: 2005 **SECURITIES** Estimated average burden hours per Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting 2. Date of Event Requiring 3 Issuer Name and Ticker or Trading Symbol

Person <u>*</u> Foster Br		porting	Statement (Month/Day/Year)		R INC [NSR]		ing Symbol	
(Last)	(First)	(Middle)	06/16/2016	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
21575 RIDG	ETOP CI	RCLE		(0) 1				
(Street)				(Check all applicable) Director 10% Owner			6. Individual or Joint/Group Filing(Check Applicable Line)	
STERLING,	CA 2	0166		X Officer (give title below	Othe	r ow)	_X_Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	tive Securiti	ies Be	neficially Owned	
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	*	
Class A Con	nmon Stoc	2k	12,159		D	Â		
Reminder: Repo owned directly	or indirectly Perso infor	ons who res mation cont	ach class of securities benefic spond to the collection of ained in this form are not	t s	SEC 1473 (7-02	2)		
			ond unless the form displ MB control number.	lays a				

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	Expiration D	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	(1)	(2)	Class A Common Stock	4,755	\$ 0	D	Â
Restricted Stock Units	( <u>3)</u>	(2)	Class A Common Stock	18,492	\$ 0	D	Â
Restricted Stock Units	(4)	$\hat{A}_{(5)(6)(7)}$	Class A Common Stock	8,420	\$ 0	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Foster Brian 21575 RIDGETOP CIRCLE STERLING, CA 20166	Â	Â	SVP, Information Services	Â		
Signatures						
/s/ Paul S. Lalljie, by power of attorney		06/27/2	.016			

Date

<u>\*\*Signature of Reporting Person</u>

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of the restricted stock units vest on each of June 22, 2016, 2017 and 2018.

Upon the Reporting Person's Termination (as defined in the NeuStar, Inc. Amended & Restated 2009 Stock Incentive Plan (the "Plan")), including by death or Disability (as defined in the Plan), other than by the Issuer for Cause (as defined in the Plan) or by the Reporting

- (2) Person without Good Reason (as defined in the Restricted Stock Unit Agreement), any unvested restricted stock units that would have vested during the 12 month period following such separation from the Issuer shall immediately vest, and the remainder of any unvested restricted stock units shall immediately be forfeited without compensation.
- (3) One-fourth of the restricted stock units vest on each of December 22, 2015, June 28, 2016, December 28, 2016, and June 28, 2017.
- (4) One-third of the restricted stock units vest on each of March 1, 2017, 2018 and 2019.

Following a Change in Control (as defined in the Plan) following which any portion of the Reporting Person's RSUs remains unvested, upon the Reporting Person's Termination (i) by reason of the Reporting Person's death or Disability, (ii) by the Company without Cause,

(5) dipon the Reporting Person's Termination (1) by feason of the Reporting Person's death of Disability, (ii) by the Company without Cause, (iii) by the Reporting Person with Good Reason, in each case, within two (2) years after such Change in Control, the Reporting Person's RSUs shall immediately vest in full upon such Termination.

Upon the Reporting Person's Termination (as defined in the NeuStar, Inc. 2009 Stock Incentive Plan (the "Plan")), including by death or Disability (as defined in the Plan), by the Issuer without Cause (as defined in the Plan), any unvested restricted stock units that would

- (6) Disability (as defined in the Flan), by the issuer without Cause (as defined in the Flan), any unvested restricted stock units that would have vested during the 12 month period following such separation from the Issuer shall immediately vest, and the remainder of any unvested restricted stock units shall immediately be forfeited without compensation.
- (7) Upon the Reporting Person's Termination due to Retirement (as defined in the Plan), a number of unvested RSUs (if any) equal to the product of (i) the number of RSUs that would have become vested on the next scheduled Vesting Date (as defined in the Plan) had the Reporting Person's employment continued through such Vesting Date and (ii) a fraction, the numerator of which is the number of days from the last Vesting Date preceding the date of such Termination (or in the case of a Termination prior to the first such Vesting Date, the

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number of days from the Grant Date (as defined in the Plan)) through the date of such Termination, and the denominator of which is the number of days from the last Vesting Date preceding the date of such Termination (or in the case of a Termination prior to the first such Vesting Date, the number of days from the Grant Date) through the next scheduled Vesting Date, shall immediately vest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.