### Edgar Filing: MAXIM INTEGRATED PRODUCTS INC - Form 4

#### MAXIM INTEGRATED PRODUCTS INC

Form 4

September 08, 2016

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Check this box

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

See Instruction

obligations

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**DOLUCA TUNC** 

(Last) (First) (Middle)

(Street)

160 RIO ROBLES

SAN JOSE, CA 95134

2. Issuer Name and Ticker or Trading

Symbol

MAXIM INTEGRATED PRODUCTS INC [MXIM]

3. Date of Earliest Transaction

(Month/Day/Year) 09/06/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

below)

PRESIDENT, CEO & DIRECTOR 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

09/06/2016

Code (D) (Instr. 8)

3.

Transaction(A) or Disposed of (Instr. 3, 4 and 5)

4. Securities Acquired

5. Amount of Securities Beneficially Owned Following Reported

 $1,363,213 \frac{(3)}{}$  I

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A) Transaction(s) (Instr. 3 and 4) (D) Price

Code V Amount 39,500 Α A \$0  $168,594 \stackrel{(2)}{=} \stackrel{(3)}{=} D$ 

(1) Stock Common

Stock

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

By Trust

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--------------------------------------|--|-----|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                               | / (A)  | (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Performance<br>Shares                               | \$ 0  | 09/06/2016                           |   | A                                    | 63,20<br>(4)   | 0   | (5)  | (5)                | Common<br>Stock   | 63,200                              |

### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                           |       |  |  |
|--------------------------------|---------------|-----------|---------------------------|-------|--|--|
|                                | Director      | 10% Owner | Officer                   | Other |  |  |
| DOLUCA TUNC                    |               |           |                           |       |  |  |
| 160 RIO ROBLES                 | X             |           | PRESIDENT, CEO & DIRECTOR |       |  |  |

## **Signatures**

SAN JOSE, CA 95134

BY MARK CASPER FOR TUNC DOLUCA

09/08/2016

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents Restricted Stock Units that vest over four quarters on February 15, 2020, May 15, 2020, August 15, 2020, November 15, 2020, subject to the individual's continuous status as an employee through such dates.
- (2) Represents unvested Restricted Stock Units and Common Stock.
- (3) Includes the transfer of 39,860 shares from direct holdings to indirect holdings.
- (4) Represents the target number of Performance Shares that will be earned, if at all,based on the achievement of certain pre-established performance goals. Each Performance Share Unit represents a contingent right to receive one share of MXIM common stock.
- (5) Vesting of the Performance Share Units will be on 8/15/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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