AMKOR TECHNOLOGY, INC.
Form 4
November 08, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Kelley Stephen Douglas

(Last) (First) (Middle)

2045 EAST INNOVATION CIRCLE

(Street)

TEMPE, AZ 85284

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
AMKOR TECHNOLOGY, INC. [AMKR]

3. Date of Earliest Transaction (Month/Day/Year)
11/08/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

__X__ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/04/2016		M		100,000	A	$ 4.5	448,843 (1)	D	
Common Stock	11/04/2016		S		100,000	D	$ 10.45 (2)	348,843	D	
Common Stock	11/07/2016		M		100,000	A	$ 4.5	448,843	D	
Common Stock	11/07/2016		S		100,000	D	$ 10.89 (3)	348,843	D	

Common Stock	11/08/2016		F	21,563	D	$ 11.24	327,280	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securi (Instr. 3 and 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right to Buy)	$ 4.5	09/14/2016		M			42,579	05/08/2014 [(4)](#)	05/08/2023	Amkor Technology, Inc., Common Stock
Employee Stock Option (Right to Buy)	$ 4.5	11/04/2016		M			100,000	05/08/2014 [(4)](#)	05/08/2023	Amkor Technology, Inc., Common Stock
Employee Stock Option (Right to Buy)	$ 4.5	11/07/2016		M			100,000	05/08/2014 [(4)](#)	05/08/2023	Amkor Technology, Inc.,Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kelley Stephen Douglas 2045 EAST INNOVATION CIRCLE TEMPE, AZ 85284	X		President and CEO	

Signatures

Jerry C. Allison, Attorney-in-Fact for Stephen D. Kelley	11/08/2016
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Also reflects the August 22, 2016 transfer of 5,822 shares to Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order.

(2) The price reported in Column 4 is a weighted average. Shares sold in multiple transactions at prices from 10.42 to 10.50.

(3) The price reported in Column 4 is a weighted average. Shares sold in multiple transactions at prices from 10.86 to 10.94.

(4) The option grant vests as follows: 25% of the shares vest on the first anniversary of the grant date and 1/16 of the option vests each quarter thereafter, such that 100% of the option will vest on the fourth anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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