

AMKOR TECHNOLOGY, INC.

Form 4

November 10, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rutten Guillaume Marie Jean

2. Issuer Name **and** Ticker or Trading  
Symbol  
AMKOR TECHNOLOGY, INC.  
[AMKR]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
2045 EAST INNOVATION  
CIRCLE

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/08/2016

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
Executive Vice President

(Street)  
TEMPE, AZ 85284

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/08/2016		M	15,000	A \$ 5.18 15,000	D	
Common Stock	11/08/2016		S	15,000	D \$ 11.02 0	D	
Common Stock	11/08/2016		M	15,000	A \$ 5.18 15,000	D	
Common Stock	11/08/2016		S	15,000	D \$ 11.29 0	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
Employee Stock Option (right to Buy)	\$ 5.18	11/08/2016		M	15,000	02/13/2015 <sup>(3)</sup> 02/13/2024	Amkor Technology, Inc.
Employee Stock Option (Right to Buy)	\$ 5.18	11/08/2016		M	15,000	02/13/2015 <sup>(3)</sup> 02/13/2024	Amkor Technology, Inc.

## Reporting Owners

Reporting Owner Name / Address	Relationships
Rutten Guillaume Marie Jean 2045 EAST INNOVATION CIRCLE TEMPE, AZ 85284	Director 10% Owner Officer Other Executive Vice President

## Signatures

Jerry C. Allison, Attorney-in-Fact for Guillaume Marie Jean Rutten 11/10/2016

                    Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from 11.00 to 11.06.
- (2) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from 11.28 to 11.29.
- (3) The stock options will vest over four years; 25% on the first anniversary of the grant date and 1/16 of the option will vest each quarter thereafter so that at the end of the fourth year, 100% of the option will be vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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