

RAMBUS INC  
Form 4  
November 22, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Kim Jae

(Last) (First) (Middle)  
1050 ENTERPRISE WAY, SUITE 700  
(Street)

SUNNYVALE, CA 94089

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
RAMBUS INC [RMBS]

3. Date of Earliest Transaction (Month/Day/Year)  
11/21/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
SVP & GC

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/21/2016		M		496	A	\$ 7.31
Common Stock	11/21/2016		M		2,433	A	\$ 5.46
Common Stock	11/21/2016		M		1,460	A	\$ 5.46
Common Stock	11/21/2016		M		242	A	\$ 5.63
Common Stock	11/21/2016		M		111	A	\$ 5.63

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Common Stock	11/21/2016		M	4,335	A	\$ 8.76	100,412	D
Common Stock	11/21/2016		<u>S</u> <sup>(1)</sup>	9,851	D	\$ 13.3505 <u>(2)</u>	90,561	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 7.31	11/21/2016		M	496	<u>(3)</u> 02/01/2022	Common Stock	1,486
Employee Stock Option (right to buy)	\$ 5.46	11/21/2016		M	2,433	<u>(4)</u> 02/01/2023	Common Stock	7,297
Employee Stock Option (right to buy)	\$ 5.46	11/21/2016		M	1,460	<u>(4)</u> 02/01/2023	Common Stock	4,378
Employee Stock Option (right to buy)	\$ 5.63	11/21/2016		M	242	<u>(5)</u> 08/02/2020	Common Stock	727
	\$ 5.63	11/21/2016		M	111	<u>(5)</u> 02/01/2021		331

