

QUAKER CHEMICAL CORP
Form 4
November 23, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BARRY MICHAEL F

2. Issuer Name and Ticker or Trading Symbol
QUAKER CHEMICAL CORP
[KWR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
11/21/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, CEO and President

QUAKER CHEMICAL CORPORATION, ONE QUAKER PARK, 901 E. HECTOR STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONSHOHOCKEN, PA 19428-2380

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	04/20/2016		G	V 616 D \$ 0	118,486	D	
Common Stock	04/20/2016		A	V 308 A \$ 0	1,980	I	By son
Common Stock	11/21/2016		M	3,078 A \$ 38.13	121,564	D	
Common Stock	11/21/2016		F	1,943 D \$ 122.5	119,621	D	
	11/21/2016		M	13,081 A	132,702	D	

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Common Stock						\$ 58.26		
Common Stock	11/21/2016	F	9,027	D		\$ 122.5	123,675	D
Common Stock	11/21/2016	M	11,801	A		\$ 73.47	135,476	D
Common Stock	11/21/2016	F	9,039	D		\$ 122.5	126,437	D
Common Stock	11/21/2016	M	5,966	A		\$ 87.3	132,403	D
Common Stock	11/21/2016	F	4,907	D		\$ 122.5	127,496	D
Common Stock							33,484 ⁽¹⁾	I By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 38.13	11/21/2016		M	3,078	⁽²⁾ 03/06/2019	Common Stock 3,078
Employee Stock Option (right to buy)	\$ 58.26	11/21/2016		M	13,081	⁽³⁾ 03/05/2020	Common Stock 13,081
	\$ 73.47	11/21/2016		M	11,801	⁽⁴⁾ 02/27/2021	11,801

Employee Stock Option (right to buy)								Common Stock	
Employee Stock Option (right to buy)	\$ 87.3	11/21/2016	M	5,966	<u>(5)</u>	02/25/2022	Common Stock	5,966	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARRY MICHAEL F QUAKER CHEMICAL CORPORATION ONE QUAKER PARK, 901 E. HECTOR STREET CONSHOHOCKEN, PA 19428-2380	X		Chairman, CEO and President	

Signatures

Victoria K. Gehris, Attorney-in-Fact for Michael F. Barry
11/23/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Information based on reporting person's Plan Statement as of September 30, 2016.
- (2) The options for this grant vested in three annual installments: 5,352 shares on March 6, 2013; 5,352 shares on March 6, 2014; and 5,351 shares on March 6, 2015.
- (3) The options for this grant vested in three annual installments: 4,360 shares on March 5, 2014; 4,360 shares on March 5, 2015; and 4,361 shares on March 5, 2016.
- (4) The options for this grant vest in three annual installments: 5,901 shares on February 27, 2015; 5,900 shares on February 27, 2016; and 5,900 shares on February 27, 2017.
- (5) The options for this grant vest in three annual installments: 5,966 shares on February 25, 2016; 5,966 shares on February 25, 2017; and 5,965 shares on February 25, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.