Steris plc Form 4 December 09, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Carestio Daniel A

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

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**OMB** 

Number:

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response...

Symbol

(Middle)

Steris plc [STE]

(Check all applicable)

C/O CHANCERY HOUSE, 190

(First)

(Month/Day/Year) 12/08/2016

Director 10% Owner Other (specify X\_ Officer (give title

WATERSIDE ROAD, HAMILTON **INDUSTRIAL PARK** 

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Sr. V. P., Isomedix & Life Sci

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

LEICESTER, X0 LE5 1QZ

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi oror Dispo (Instr. 3,	sed of 4 and (A) or	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares, 0.10 par value	12/08/2016		M	2,225	A	\$ 29.94	20,025	D	
Ordinary Shares, 0.10 par value	12/08/2016		S	2,225	D	\$ 67.5383	17,800 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 29.94	12/08/2016		M	2,225	(3)	05/30/2022	Ordinary Shares, 0.10	2,225	

# **Reporting Owners**

Relationships Reporting Owner Name / Address Other

Director 10% Owner Officer

Carestio Daniel A C/O CHANCERY HOUSE, 190 WATERSIDE HAMILTON INDUSTRIAL PARK

Sr. V. P., Isomedix & Life Sci

### **Signatures**

LEICESTER, X0 LE5 1QZ

/s/ Ronald E. Snyder, Authorized Representative under Power of 12/09/2016 Attorney

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This represents the average sales price of the 2,225 shares sold in the open market. The actual sales prices ranged from \$67.50 per share to \$67.72 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these eight sales prices.

Reporting Owners 2

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- (2) 17,800 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 3,300 on May 31, 2017; 4,000 on May 30, 2018, 5,000 on May 28, 2019, 3,000 on October 1, 2019 and 2,500 on June 1, 2020.
- (3) This option is fully exercisable. This option was received in the merger in exchange for an option to purchase 2,225 STERIS common shares for \$29.94 per share, subject to the same terms and conditions as the original STERIS stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.