Edgar Filing: PNC FINANCIAL SERVICES GROUP, INC. - Form 4

| PNC FINANCIAL SERVIC Form 4 July 06, 2017 | ES GROU | JP, INC. | | | | | | | | |
|--|---|-------------|--|---|--|--|--|---|--|--|
| • | | | | | | | OMB A | PPROVAL | | |
| |) STATES | | RITIES A Ashington | | | COMMISSIO | N OMB Number: | 3235-0287 | | |
| Check this box if no longer | | | | Expires: | January 31, 2005 | | | | | |
| subject to Section 16. Form 4 or | MENT O | F CHAI | NGES IN SECUI | burden hou | average Irs per | | | | | |
| Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type Responses) | | | | | | | | | | |
| 1. Name and Address of Reportin WARD MICHAEL J | 2. Issuer Name and Ticker or Trading Symbol PNC FINANCIAL SERVICES | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
| | | GROU | P, INC. [l | PNC] | | (| | -) | | |
| | | | of Earliest T Day/Year) 2017 | ransaction | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | |
| | | | iled(Month/Day/Year) App _X_ | | | Applicable Line) _X_ Form filed by | _X_ Form filed by One Reporting Person | | | |
| JACKSONVILLE, FL 3220 |)7 | | | | | Form filed by Person | More than One R | eporting | | |
| (City) (State) | (Zip) | Tal | ole I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3)2. Transaction Data (Month/Day/Year) | Execution any | Date, if | 3. Transactio Code (Instr. 8) Code V | Disposed (Instr. 3, 4 | (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Reminder: Report on a separate lin | ne for each c | lass of sec | urities bene | ficially own | ned directly of | or indirectly. | | | | |
| | | | | Perso inform requir | ns who rest nation cont ed to respo sys a current | spond to the colle ained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Price |
|-------------|-------------|---------------------|--------------------|-------------|------------|-------------------------|------------------------|-----------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | onof | Expiration Date | Underlying Securities | Derivativ |
| Security | or Exercise | | any | Code | Derivative | (Month/Day/Year) | (Instr. 3 and 4) | Security |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8) | Secur Acqui (A) or Dispo of (D) (Instr. and 5) | ired r osed) . 3, 4, | | | | | (Instr. 5) |
|---------------------------|------------------------------------|------------|------------------|------------|--|-----------------------------------|---------------------|--------------------|----------------------------|--|------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock Unit | <u>(1)</u> | 07/03/2017 | | A | 258 | | <u>(1)</u> | <u>(1)</u> | \$5 Par Common Stock | 258 | \$ 126 |
| Phantom Stock Unit | <u>(1)</u> | | | | | | <u>(1)</u> | <u>(1)</u> | \$5 Par Common Stock | 1,580 | |
| Deferred Stock Unit | <u>(4)</u> | | | | | | (4) | <u>(4)</u> | \$5 Par Common Stock | 1,197 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|---------------|--|--|--|--|--|
| 1 0 | Director | 10% Owner | Officer Other | | | | | |
| WARD MICHAEL J 1908 RIVER ROAD JACKSONVILLE, FL 32207 | Х | | | | | | | |
| Signatures | | | | | | | | |
| Christi Davis, Attorney-in-Fac | 07/ | 06/2017 | | | | | | |

Ward

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One phantom stock unit is the economic equivalent of one share of The PNC Financial Services Group, Inc. ("PNC") Common Stock. Phantom stock units will be settled in cash upon distribution to the reporting person and generally do not expire.
- (2) Includes an aggregate of 6 Phantom Stock Units acquired by the reporting person as dividend equivalents under the PNC Deferred Compensation Plan subsequent to the date of the reporting person's most recent filing on Form 4.
- (3) Includes an aggregate of 7 Phantom Stock Units acquired by the reporting person as dividend equivalents under the PNC Outside Directors Deferred Stock Unit Plan subsequent to the date of the reporting person's most recent filing on Form 4.

Deferred stock unit ("DSU") granted pursuant to The PNC Financial Services Group, Inc. ("PNC") Directors Deferred Stock Unit Program (the "Program") under PNC's 2016 Incentive Award Plan. Each DSU represents the right to receive at retirement a share of PNC

(4) Frogram (the Program) under PNC's 2010 incentive Award Plan. Each DSO represents the right to receive at remember a share of PNC
 Common Stock (a "Share") or in limited circumstances cash equal to the fair market value of one Share on the payment determination date, pursuant to the terms of the Program.

Remarks:

See attached footnotes page.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.