Mittal Kunal Form 4 May 22, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box subject to

if no longer Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mittal Kunal

4051 BROAD ST, SUITE 220

(First) (Last)

(Middle)

(Zip)

(State)

SAN LUIS OBISPO, CA 93401

(City)

(Street)

2. Issuer Name and Ticker or Trading Symbol

MINDBODY, Inc. [MB]

3. Date of Earliest Transaction

(Month/Day/Year) 05/21/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

3.

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chief Technology Officer

6. Individual or Joint/Group Filing(Check

(D) or

Indirect (I)

(Instr. 4)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

10% Owner

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

Form: Direct Indirect

Other (specify

0.5

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

Securities

Owned Following

Reported

Beneficially

Issuer

below)

Director

Applicable Line)

X\_ Officer (give title

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Code (D) (Instr. 8)

Transaction(A) or Disposed of

(Instr. 3, 4 and 5)

(A) Transaction(s) (Instr. 3 and 4) (D) Price

4. Securities Acquired 5. Amount of

Class A Common 05/21/2018 Stock

1,120  $F^{(1)}$ (2)

Code V Amount

D 39 1

51,374 (3)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Mittal Kunal - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	5. 6. Date Exercisable and		7. Title and		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	Year)	Underlyi	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative			Securities		(Instr. 5)	Bene
	Derivative				Securities Acquired			(Instr. 3 and 4)			Own
	Security									Follo	
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								٨	mount		
						Date Expiration		or Title Number			
						Exercisable	Date	of			
				Code V	(A) (D)				hares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Mittal Kunal

4051 BROAD ST, SUITE 220 Chief Technology Officer

SAN LUIS OBISPO, CA 93401

# **Signatures**

/s/ Kimberly G. Lytikainen, Attorney-in-Fact

05/22/2018

Date

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares withheld by the Issuer to satisfy the Issuer's tax withholding obligation in connection with the Reporting Person's vesting of **(1)** restricted stock units ("RSUs").
- The number of shares withheld by the Issuer in connection with the vesting of RSUs was based on the closing price of the Issuer's Class **(2)** A Common Stock on the vest date, pursuant to the terms of the 2015 Equity Incentive Plan.
- Includes 41,452 RSUs, where each RSU represents a contingent right to receive one share of the Issuer's Class A Common Stock upon **(3)** settlement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2