Goetz Tamara Sue Form 4/A May 24, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

5. Relationship of Reporting Person(s) to

Issuer

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

Goetz Tamara Sue

1. Name and Address of Reporting Person \*

See Instruction

		Andersons, Inc. [ANDE]			(Check all applicable)				
(Last) (First 1947 BRIARFIELD	3. Date of Earliest Transaction (Month/Day/Year) 03/01/2018				Director 10% Owner X Officer (give title Other (specify below)				
(Streen MAUMEE, OH 435	4. If Amendment, Date Original Filed(Month/Day/Year) 03/05/2018				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (Stat	e) (Zip)	Table I - Non	-Derivativ	e Securitio	es Acq	uired,	Disposed of, or I	Beneficially O	wned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. SecurionAcquired Disposed (Instr. 3,	(A) o of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
PERFORMANCE SHARE UNIT (EPS) (2021)	03/01/2018		A	1,144	A	\$ 0	1,144 (1)	D	
PERFORMANCE SHARE UNIT (EPS) (2021)	03/01/2018		A	222	A	\$0	222 (1)	I	Held by Spouse
PERFORMANCE SHARE UNIT (TSR) (2021)	03/01/2018		A	222	A	\$ 0	222 (1)	I	Held by Spouse
PERFORMANCE SHARE UNIT	03/01/2018		A	1,144	A	\$0	1,144 (1)	D	

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(TSR) (2021)

**SHARE UNIT** 

PERFORMANCE SHARE UNIT

**PERFORMANCE** 

PERFORMANCE SHARE UNIT

**PERFORMANCE** 

(EPS) (2020)

(TSR) (2019)

**SHARE UNIT** 

(TSR) (2019)

(TSR)(2020)

**SHARE UNIT** 

(TSR) (2020)

COMMON STOCK	12,948.1131	D	
COMMON STOCK	1,827.044	I	Held by Spouse
PERFORMANCE SHARE UNIT (EPS) (2019)	1,186 (2)	D	
PERFORMANCE SHARE UNIT (EPS) (2019)	272 <u>(2)</u>	I	Held by Spouse
PERFORMANCE SHARE UNIT (EPS) (2020)	836 <u>(2)</u>	D	
PERFORMANCE SHARE UNIT	190 (2)	Ť	Held By

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

190 (2)

 $1,186 \frac{(3)}{}$ 

 $272 \frac{(3)}{2}$ 

836 (3)

190 <u>(3)</u>

Ι

D

Ι

D

Ι

Spouse

Held by

Spouse

Held By

Spouse

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	re	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans

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of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount Exercisable Date or

Number of Shares

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Goetz Tamara Sue 1947 BRIARFIELD BLVD. MAUMEE, OH 43537

VP, Corp. Relations/Business Anal

### **Signatures**

Tamara Goetz, By: Melissa Trippel, Limited Power of Attorney 05/24/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stated incorrect number shares on previous filing.
- (2) Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.
- Stock performance unit (TSR) granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on the Company's annualized total shareholder return. Number of underlying shares are based upon the level of satisfaction of the total shareholder return for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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