Rutt Sheila M Form 3 May 25, 2018

## FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Rutt Sheila M

(Last) (First) (Middle)

Statement

(Month/Day/Year)

05/17/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

RR Donnelley & Sons Co [RRD]

(Check all applicable)

(give title below) (specify below)

EVP and CHRO

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O R.R. DONNELLEY & SONS COMPANY, Â 35 W. WACKER DRIVE

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

CHICAGO. ILÂ 60601

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (Instr. 5)

(I) (Instr. 5)

Common Stock

 $63,287 \frac{(1)}{2}$ 

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative Derivative Security:

1

## Edgar Filing: Rutt Sheila M - Form 3

Date Expiration Title Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

**Reporting Owners** 

Reporting Owner Name / Address

Director 10% Owner Officer

Other

Rutt Sheila M

C/O R.R. DONNELLEY & SONS COMPANY 35 W. WACKER DRIVE

Â

Â EVP and CHRO Â

Relationships

CHICAGO, ILÂ 60601

**Signatures** 

/s/ Deborah L. Steiner, as Attorney-in-Fact

05/24/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 63,287 Company granted restricted stock units ("RSUs") issued pursuant to a Rule 16b-3 plan, 26,446 of which were granted on June 29, 2017 and vest in three equal annual installments beginning on July 1, 2018 and 36,841 of which were granted on March 2, 2018 and vest in three equal annual installments beginning on March 2, 2019, in each case subject to Ms. Rutt's continued employment with the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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