DUGAN PATRICK D Form 4

July 30, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DUGAN PATRICK D			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP [WAB]	(Check all applicable)		
(Last) 1001 AIR BI	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/26/2018	Director 10% Owner _X_ Officer (give title Other (specify below)  EVP & Chief Financial Officer		
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
WILMERDING, PA 15148				Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	e Secu	rities Acquire	ed, Disposed of, o	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit orDisposed (Instr. 3, 4	of (D		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock - Direct	07/26/2018		M	1,260	A	\$ 35.293	76,555	D	
Common Stock - Direct	07/26/2018		M	3,920	A	\$ 48.29	80,475	D	
Common Stock - Direct	07/26/2018		M	3,150	A	\$ 72.82	83,625	D	
Common Stock -	07/26/2018		M	2,625	A	\$ 87.03	86,250	D	

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Direct							
Common Stock - Direct	07/26/2018	M	2,100	A	\$ 61.33	88,350	D
Common Stock - Direct	07/26/2018	M	1,225	A	\$ 87.05	89,575	D
Common Stock - Direct	07/26/2018	S	13,580	D	\$ 109.74 (1)	75,995	D
Common Stock - Direct	07/26/2018	S	700	D	\$ 110.29 (2)	75,295	D
Common Stock - Direct	07/26/2018	S	7,000	D	\$ 109.0827	68,295	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Options	\$ 35.2925	07/26/2018		M	1,260	02/14/2016	02/14/2022	Common Stock	1,2
Non-Qualified Stock Option	\$ 48.29	07/26/2018		M	3,920	03/01/2017	02/12/2023	Common Stock	3,9
Non-Qualified Stock Options	\$ 72.82	07/26/2018		M	3,150	03/01/2018	02/11/2024	Common Stock	3,1
Non-Qualified Stock Option	\$ 87.03	07/26/2018		M	2,625	03/01/2019	02/10/2025	Common Stock	2,6

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Stock Option	\$ 61.33	07/26/2018	M	2,100	03/01/2020	02/09/2026	Stock	2,1
Non-Qualified Stock Option	\$ 87.05	07/26/2018	M	1,225	03/01/2021	02/07/2027	Common Stock	1,2

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DUGAN PATRICK D

1001 AIR BRAKE AVENUE EVP & Chief Financial Officer

WILMERDING, PA 15148

**Signatures** 

David. L. DeNinno, POA for Patrick D.
Dugan
07/30/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices within \$1.00 at a prices ranging from \$109.13 to \$110.09 inclusive. The reporting person undertakes to provide to Westinghouse Air Brake Technologies Corporation ("Wabtec"), any security holder of Wabtec, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices within \$1.00 at a prices ranging from \$110.15 to \$110.45 inclusive. The reporting person undertakes to provide to Westinghouse Air Brake Technologies Corporation ("Wabtec"), any security holder of Wabtec, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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