

GARFINKLE DAVID

Form 4

December 03, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GARFINKLE DAVID**

(Last) (First) (Middle)

**C/O CORECIVIC, INC., 10  
BURTON HILLS BOULEVARD**

(Street)

NASHVILLE, TN 37215

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**CoreCivic, Inc. [CXW]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**11/29/2018**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

EVP &amp; Chief Financial Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/29/2018		M	(A) or (D) A	5,010 (1) \$ 9.13 (1)	105,082	D
Common Stock	11/29/2018		S	D	5,010 \$ 21.6968	100,072	D
Common Stock	12/03/2018		M	(A) or (D) A	19,385 (1) \$ 17.57 (1)	119,457	D
Common Stock	12/03/2018		F	(A) or (D) D	17,040 (2) \$ 21.95	102,417	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Employee Stock Option (Right to Buy)	\$ 9.13 <sup>(1)</sup>	11/29/2018		M	5,010 <sup>(1)</sup>	02/18/2013 <sup>(3)</sup> 02/18/2019	Common Stock 5,010
Employee Stock Option (Right to Buy)	\$ 17.57 <sup>(1)</sup>	12/03/2018		M	19,385 <sup>(1)</sup>	02/18/2014 <sup>(3)</sup> 02/18/2020	Common Stock 19,385

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
GARFINKLE DAVID C/O CORECIVIC, INC. 10 BURTON HILLS BOULEVARD NASHVILLE, TN 37215	EVP & Chief Financial Officer

## Signatures

/s/ Cameron Hopewell,  
Attorney-in-Fact

12/03/2018

\_\_\_\_Signature of Reporting Person

\_\_\_\_Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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As adjusted to reflect an increase in the number of shares underlying the option and a decrease in the per share exercise price of such option as a result of the special dividend paid to stockholders of record on April 19, 2013 in connection with the Issuer's REIT conversion.

- (2) Represents shares forfeited to cover both the aggregate exercise price of the options being exercised and taxes arising from that exercise.

Twenty-five (25%) of options granted became exercisable on each of the first, second, third and fourth anniversary of the option grant

- (3) date. Date Exercisable reflects the first date 100% of each option grant was exercisable (i.e., the fourth anniversary of the option grant date).

### Remarks:

Exercise of employee stock options and sale of stock acquired upon exercise pursuant to Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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